# LEEDS DOCTORAL COLLEGE





Doctoral College Handbook

2023-24

# **Disclaimer**

This handbook was published in October 2023. Whilst the University tries to ensure information contained is accurate at the time of publication, some of the information may change at a later date and the University does not accept liability for any inaccuracies contained within it.

For the most accurate and up to date information please refer to the Postgraduate Research pages of the For Students website

# **Accessibility Statement**

This document is available electronically on the For Students website.

For information in alternative formats please email doctoralcollege@leeds.ac.uk

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# Pre-arrival information

Welcome to the University of Leeds and to the beginning of your journey as a postgraduate researcher (PGR)! We have put together some information to help you prepare for your arrival and the start of your research programme.

Our <u>online Welcome Guide</u> covers everything you need to know about joining us. It includes a simple list of ten things you need to do to help you get started.

#### Accept your offer and meet your conditions

You will be eligible to register once you have received an unconditional offer and accepted this offer. Check your offer letter for any conditions if you are not sure.

You can send evidence of meeting any outstanding conditions to your Faculty Admissions team.

#### You can accept your offer online.

Please contact your <u>Faculty Admissions team</u> if you have any questions about accepting your offer or meeting the conditions of your offer.

#### Registration, ID check and student card

You need to register within four weeks of the start date of your studies and re-register each year within four weeks of the anniversary of your start date.

You will receive more information regarding the registration process from your Graduate School team within the next few weeks.

Please note the University does not permit postgraduate researchers to study on a remote basis. If you are on a distance-learning programme you are usually expected to be present in Leeds for induction at the start of your programme.

If you do not think you will be able to arrive in Leeds within one month of the start date of your programme, please <u>contact your Graduate School</u>.

If you are on a split-site or dual-award PhD there may be different arrangements in place, please contact your Graduate School.

#### Accommodation

You can visit the <u>Accommodation pages of the For Students website</u> to find out more information regarding university and private accommodation. If you are planning to move to Leeds with your family, please visit the <u>Accommodation for families page of the For Students website</u>.

#### International arrivals

If you are joining us from outside the UK, you will find lots of advice on our online Welcome Guide.

#### Prepare for Leeds

You can find information about support for your arrival; including accommodation, travel and budgeting on the <u>Prepare for Leeds pages of the For Students website</u>.

There will also be useful information about <u>travel to Leeds</u>, <u>arrival in Leeds</u> (including the Airport pick-up service run by the International Student Office) and <u>support for international offer holders</u>.

#### Your first weeks at Leeds

You can find lots of useful information to assist your during your first few weeks in Leeds, such as UK phone and SIM cards, opening a UK bank account, feeling at home in Leeds and travelling around the city on the <u>International Students pages of the For Students Website</u>.

#### Fees, funding and bank details

If you are funded by a university scholarship, you will receive your <u>stipend payments</u> once you have completed online registration and <u>provided the University with your UK bank account details</u>.

For more information about fees and funding please visit the <u>Funding pages of the For Students</u> website.

#### University email account

Once you have accepted an unconditional offer you can <u>activate your @leeds.ac.uk email account</u> using <u>Office 365</u>. It is important you set up this account as soon as you are able as this is where you <u>will receive all information about your induction as well as other important communications</u> throughout your candidature.

The University also uses a two-factor authentication (2FA) system called DUO. DUO adds a second method of identity verification to secure your University of Leeds account and the devices, files and systems associated with it.

Duo will prompt you to enrol the first time you log in to a protected service. Simply follow the instructions on screen to register your smartphone, tablet or other device and install the free Duo Mobile app. The Duo Mobile app is available from both the <u>Apple</u> and <u>Android</u> app stores and is the easiest and fastest way to securely log in to your account. For step-by-step set up instructions, see our <u>guide</u> to enrolling for Duo.

#### Disability support

If you have a disability, please <u>register with Disability Services</u> by completing their sign-up form. You can find out more on the <u>Disability Services</u> pages of the <u>For Students website</u>.

You can expect to hear from your Graduate School within the next month with more information about your induction, including online resources and in-person events to welcome you to our research community at Leeds. In the meantime, if you have any further questions, please contact your <u>Faculty Admissions Team</u>.

# Induction Checklist

With so much to do in the first weeks, it can be difficult to know where to start. Our induction checklist for PGRs can signpost you to support and information to help you prepare for your arrival and first few weeks in Leeds.

| What is a PGR? | PGR is an acronym for 'postgraduate researcher' which is a term we use to       |
|----------------|---|
|                | describe anyone studying for a PhD, Masters by Research, Professional Doctorate |
|                | (eg EdD, DClinPsychol and DPaedDent), Doctor of Medicine or Integrated degrees  |
|                | of PhD and MSc, whether they are studying part time or full time.               |
|                |   |
|                |   |

|                         | T.  |
|-------------------------|---|
|                         | All postgraduate researchers, or PGRs, are students and therefore eligible to use all the student services across the University.   |
| Your Graduate<br>School | Your Graduate School is normally the first point of contact for queries specific to your studies. For the full list of contacts, please see the <u>Graduate School contacts</u> <u>page</u> .   |
|                         | If you are unsure which Graduate School to contact or if you think your query might be for someone else, but you aren't sure who, you can email <a href="mailto:doctoralcollege@leeds.ac.uk">doctoralcollege@leeds.ac.uk</a> and your query will be directed to the correct team. |
| Registration            | Registration means you can officially confirm your place on your course, check the information we hold about you and confirm the financial arrangements for your studies You will need to complete registration within one month of your start date.                              |
|                         | To complete your registration, we'll also need to check your identity.  |
|                         | Please see the <u>How to Register page on the For Students website</u> for information about activating your online IT account, how to register and the online identity check.  |
|                         | Please note the University does not permit PGRs to study on a remote basis. If you are on a distance learning programme you are usually expected to be present in Leeds for Induction at the start of your programme.   |
|                         | If you do not think you will be able to arrive in Leeds within one month of the start date of your programme, please <u>contact your Graduate School</u> .  |
|                         | If you are on a split-site or dual-award PhD there may be different arrangements in place; please <u>contact your Graduate School</u> .   |
|                         | If you are having any problems completing registration, please contact the <a href="Student Information Service">Student Information Service</a> who will be able to advise.  |
| GRAD                    | The <u>Graduate Record of Achievement and Development (GRAD</u> ) is the University record keeping system for all PGRs.   |
|                         | You can find out more on the <u>GRAD page of the For Students website.</u>  |
| IT Induction            | The <u>Student IT Induction</u> introduces new students and PGRs to IT at the University of Leeds. It covers everything that needs to be done before your   |

|                            | course starts, like setting up your username, password and Duo two-factor authentication, as well as useful things to know in your first weeks and months. There's also information on University Systems, the Library and email as well as advice on getting help and keeping up to date with the latest news from IT.   |
|----------------------------|---|
| Communication              | Your university e mail account and Microsoft Teams will be the main method we will use to communicate with you during your time at Leeds. Any reminders/automated notifications from GRAD will go to your University email account. It is expected that you will regularly check these channels.  You should automatically be enrolled on the Leeds Doctoral College PGR's Team but if you are not then you can request to join.                        |
| Support and wellbeing      | There is information about the support services available on the <u>Postgraduate</u> support and wellbeing page of the For Students website.  |
|                            | If you are a disabled PGR please visit the <u>Disability Services website</u> to find out how you can register with the service to access support.  |
| Proof of student<br>status | In most cases the University can produce an official certificate confirming that you are a PGR at the University of Leeds. If you require proof of student status, you can request a statement from the <a href="Student Services Centre">Student Services Centre</a> .   |
| Council tax                | Full-time PGRs are eligible for Council Tax exemption or discounts. However, part-time PGRs are not eligible for Council Tax exemption or discounts.  |
|                            | If you are a registered full-time PG, and you enter your Leeds term-time address when you register, your details will be sent to Leeds City Council four times a year. This assists Leeds City Council with processing student Council Tax exemption. Full information and guidance (including what to do if you live outside Leeds or if you are an international student) can be found on the Council Tax exemption page of the For Students website. |
| Visas                      | If you are an international PGR and you are here studying on a visa, it is important that you understand how your visa works, and what to do if your circumstances change. All information, including Student Visa (formerly 'Tier 4') responsibilities, can be found on the Your Visa page of the For Students website.  |
|                            | If you need specific advice on your visa, including how to extend your visa, please contact the Student Visa Advice team.   |

#### **Research Practice**

There are a number of considerations before you commence your research to ensure you are fulfilling requirements for academic integrity, data management, ethical research and intellectual property.

You can find out more in the Research Practice page of the For Students website.

As part of your induction module you will be required to complete online training in the following areas. You can find out more information about the training resources below on the <a href="Postgraduate academic integrity">Postgraduate academic integrity</a>, research ethics and research integrity page of the For Students website.

#### **Academic Integrity**

This online, interactive tutorial and test is designed to give you an overview of academic integrity, and what good academic practice means during your research degree at Leeds, at the end there will be a test to check your understanding. You can find out more on the Academic Integrity page of the For Students website.

#### **Research Integrity**

This training is designed to help you explore what research integrity means and your responsibilities as a researcher in maintaining standards of good research practice. The resource encourages your reflection on why research integrity matters and how to tackle challenges you may face on your research journey.

#### **Research Ethics**

This training introduces your responsibility to fully consider the ethical implications of your work, apply the core principles of research integrity and how to apply for ethical approval (as appropriate to your project). The ethics review process should be approached as a positive experience and not a barrier to your research, alongside the training please talk to your supervisor about research ethics and the possible requirement for ethical approval before starting your project.

# Holiday and university closed period

PGRs are encouraged to have a good work/life balance and full-time PGRs are entitled to 26 days holiday each year (15.5 for PGRs studying part time) plus University closed days.

|                    | Holiday requests should be made via <u>GRAD</u> .  |
|--------------------|--|
|                    |  |
|                    | University closed dates, including bank holidays, can be found on the University almanac or alternatively in the University Year Planner which can be a useful format for PGRs with teaching responsibilities.   |
| Policies and       | All of the key regulations, procedure and policy documents that apply to PGRs at   |
|                    | ,  |
| procedures         | Leeds are available on the <u>Policies and Procedures page of the SES website</u> .  |
|                    |  |
|                    |  |
| International PGRs | Prepare for Leeds  |
|                    | If you're joining us from outside the UK, you'll find lots of advice on our <u>online</u> <u>Welcome Guide</u> . There's information to help you plan your journey to Leeds, information for international families and details about our visa advice service.   |
|                    | Finding accommodation for you and your family can be a time-consuming process. Make sure you are aware of important considerations about bringing your family to Leeds before you arrive. You can find more information on the <a href="Accommodation for Families page of the For Students website">Accommodation for Families page of the For Students website</a> .   |
|                    | You'll also be able to book your arrival support, including our <u>free airport pick-up</u> <u>service</u> available from Leeds Bradford Airport and Manchester Airport available to all new international postgraduate researchers.   |
|                    | Your first weeks at Leeds  |
|                    | We offer support and activities to welcome you and help you get settled in your first weeks in Leeds. As well as the welcome activities run by your school, you're invited to International Orientation, a week-long programme of talks, tours and social events to help you meet people and feel at home. Postgraduate Researchers arriving before or after September can access our Postgraduate Researcher International Orientation page for essential information about living in the UK, as well as ad-hoc webinars on finance and banking, healthcare and disability support.   |
| Hadron of B. 11    | The University Buddy Calcare of Community Control of the Control o |
| University Buddy   | The <u>University Buddy Scheme</u> offers peer support to help you feel at home and  |
| Scheme             | part of our community. The scheme is open to postgraduate researchers and you  |
|                    | can apply to joing at any point of your academic journey.  |
|                    | Apply for the scheme to be matched with another University of Leeds  |
|                    |  |
|                    | postgraduate researcher who shares similar interests to you.   |
| Doctoral College   | Every month there will be an in-person Doctoral College Meet and Greet session   |
| Meet and Greet     | where you will have the opportunity to meet other PGRs from across the   |
|                    | The state of the s |

|                                    | University as well as academic colleagues and colleagues from the University's support services.   |
|------------------------------------|--|
|                                    | The sessions are open to all PGRs, not just new starters, do feel free to come along for a coffee and a pastry and have a chat, ask a question or raise a concern any time during your research studies!   |
|                                    | You can find out the dates of the Doctoral College Meet and Greet sessions, as well as other events for PGRs, in the Events Calendar.  |
| Register with a Doctor and Dentist | Register with a doctor and a dentist as soon as you can when you arrive in Leeds.  You can find more information on the Support and Wellbeing pages of the For Students site.  |
| Campus                             | You can get to know campus by taking a self-guided tour, discover the interactive map or simply wander about and explore it yourself or with new friends!  |
|                                    | You can also find information about <u>accessible building entrances, routes on campus, and facilities.</u>  |
| Children and Family                | If you're bringing your family to Leeds when you come to study, find out about family life on and off campus on the <a href="Children and Family page of the For Students">Children and Family page of the For Students</a> <a href="Website">Website</a> . There is information about childcare, applying for schools, family activities and opportunities to meet other student parents. |
| Opening a bank account             | You will need access to money for when you arrive and to open a bank account you will need to provide official documents.  |
|                                    | The For Students website has more information on choosing a bank and different types of account.   |
| Contact your supervisor            | If you haven't already done so, you should <u>contact your supervisor</u> to arrange your first meeting; there may also be some reading that they can recommend, or they may be able to put you in touch with current PGRs in your research group.   |

| Fee and            | You can find out more information about fee and maintenance payments (also         |
|--------------------|--|
| Maintenance        | known as a stipend) on the <u>Funding pages of the For Students website</u> .      |
|                    | known as a superior on the <u>runding pages of the For students website</u> .      |
| Payments           |  |
|                    |  |
| Health and Safety, | You will receive detailed information about the following from your local school   |
| equipment and      | and faculty induction. As part of this induction you will be asked to complete the |
| facilities         | Safety in Research induction for postgraduate researchers.                         |
|                    |  |
|                    |  |
|                    | For more information please visit the Faculty Graduate School SharePoint           |
|                    | ·  |
|                    | information pages.   |
|                    |  |
|                    |  |
|                    | If you require any adjustments to help you participate in induction, please        |
|                    | contact your Graduate School   |
|                    |  |
|                    |  |
|                    | Some buildings/study spaces/facilities might have key or fob entry only. This will |
|                    | be covered by your local school or faculty induction if it is relevant to you.     |
|                    | Contact your Graduate School if you are unsure or want to know more about the      |
|                    | PGR working spaces that may be available to you.                                   |
|                    | Trans working spaces that may be available to you.                                 |
|                    |  |
|                    |  |
|                    | Talk to your supervisors about any specialist equipment you might need or have     |
|                    | access to during your research.  |
|                    |  |
|                    |  |
|                    | You may be required to complete a local health and safety induction before you     |
|                    | can undertake your research. For instance, if you will be working in laboratories  |
|                    | and/or other types of research facilities. You will receive further information    |
|                    | from your Graduate School.   |
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# Research Practice

Research at the University of Leeds is conducted according to the principles of academic excellence, community, integrity, inclusiveness and professionalism. These principles encourage you to consider the wider consequences of your research, and engage with the practical, ethical and intellectual challenges inherent in high-quality research.

The University is a supporter of the <u>UUK Concordat to Support Research Integrity</u> This means we all have a commitment to:

- uphold the highest standards of rigor and integrity in all aspects of research
- ensure that research is conducted according to appropriate ethical, legal and professional frameworks, obligations and standards
- support a research environment that is underpinned by a culture of integrity and based on good governance, best practice and support for the development of researchers

- use transparent, robust and fair processes to deal with allegations of research misconduct should they arise
- work together to strengthen the integrity of research

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# Research Ethics and Research Integrity

As you conduct your research, you are likely to need to directly engage with real-world issues, materials and participants. A key tool by which this engagement is managed and supported is through research ethics awareness and training.

The purpose of ethical review is not to discourage controversial or high-risk research, but to provide constructive feedback on your project. Taking an ethical approach to your research should not be a barrier to your project. It will help you to show that you recognise any potential risks involved and are aware of any necessary preparation and management that you are responsible for.

In all disciplines, the ethical aspects of your research should be discussed with your supervisor as part of your research design and management process. It is your responsibility to obtain ethical review before starting your research, should it be required.

To help support you in considering the ethics around your research project and complete the ethical review process, please enrol on the dedicated online training in Research Integrity and Research Ethics. You can find out more information about these tutorials on the <a href="Postgraduate Research Academic Integrity">Postgraduate Research</a> Academic Integrity, Research Integrity and Research Ethics page of the For Students site. Ethical issues in research

Some key ethical issues in research include:

- balancing potential risks and benefits to the participants (humans, animals or the environment) and the researcher(s)
- dealing with sensitive topics, information or materials
- looking after personal data
- vulnerable participants
- avoiding coercion and being mindful of power imbalances
- conflicts of interest
- · Infringement of privacy- anonymity and confidentiality

#### Ethical review process

To manage and support research ethics, the University undertakes a structured and rigorous ethical review process.

The ethical review process can take at least six weeks, so it is important that you allow enough time for this when planning your research.

Discuss your application for ethical review with your supervisor and ask them to check and sign your application form before it is submitted. The application form must be signed by both you and your supervisor, any forms submitted without both signatures will result in a further delay to the process. Handwritten applications will not be accepted.

Your awareness of the ethical implications of your research and that you have sought ethical approval will be checked at the training needs analysis stage, at transfer, and at examination entry. The examiners of your thesis may ask for access to the full ethical review paperwork considered by the Faculty Research Ethics Committee. Failure to seek appropriate ethical approval through the review process could have implications for the award of your research degree.

Certain projects, such as those involving NHS patients or clinical trials, will require special ethical review processes. Make sure that you discuss ethical review with your supervisor.

Further information about the ethical review process, including the application form for University review, can be found on the Research Ethics and Integrity webpages.

# Data Management Plans

A data management plan (DMP) describes how you will collect, organise, analyse, preserve and share data.

#### What is 'research data'?

All researchers create data in some form and you are likely to generate a significant amount of data during your research degree. Research data can come in many shapes and sizes depending on what type of research you are conducting. The term research data is used broadly and refers to a range of materials that you will use, generate and analyse during your research. Examples include:

- Photographs, audio, video recordings and films
- Musical performances and sketches in sketchbooks
- Models, algorithms and scripts
- Numerical data, generated from experiments, recorded in laboratory notebooks
- Fieldnotes, questionnaires and interview transcripts
- Specimens and samples

#### Write and update a data management plan

A data management plan (DMP) will help you to identify and describe your data and ensure that you are handling, storing and sharing the data appropriately.

It is a University requirement that you have a data management plan for your research project by the time you reach transfer and that you review and update the plan as your research progresses. The University has a <a href="Data Management Plan">Data Management Plan</a> (DMP) template which you may find helpful – but you can use any format or template which is useful to you. A DMP helps you to look after your data from the beginning to the end of the research project – and beyond. You should discuss your data management plan with your supervisor. The University's research data management expectations are outlined in the University of Leeds <a href="Research Data Management Policy">Research Data Management Policy</a>. Information and advice to help you organise and manage your research data and write your plan is available on the <a href="University Library website">University Library website</a>.

#### Assess whether your data is sensitive

Assess all your material to decide how sensitive it is as this will impact how it must be handled and stored and whether it can be shared. It is important to read the University's Information Protection Policy. All researchers should read the University's pages on data protection and handling personal and research data. There is helpful information about safeguarding data on the University Library website.

#### Store data appropriately

Make sure you know what University storage is available to you and think about the most appropriate place to store your data and consider whether encryption is needed. Check information from IT Services about keeping data safe, including what to consider when working on a laptop or when generating data outside the University.

#### Will you share your data?

Many, research funders encourage data sharing beyond the original project where this is appropriate. You should address data sharing both during and after your research in your Data Management Plan.

It is important that your consent wording, any participant information and any agreements with project partners are consistent with how you plan to use the data, including sharing with others.

Research data and associated materials are often made available to other researchers through deposit in a trusted online data repository. The <u>University's Research Data Leeds repository</u> can be used to share open research data generated by Leeds researchers. The Library also manages a repository service for controlled access datasets called RADAR which may be appropriate for data that can be shared but has some sensitivity.

You may wish to share supplementary data associated with your thesis, or share data for a conference presentation, or an exhibition or data that underpins a journal article. Deciding what material to share and how to share it can be complex. If you need advice on sharing material related to your thesis, it is a good idea to seek help sooner rather than later. You can contact the Research Data Team in the Library on researchdataenquiries@leeds.ac.uk.

# Research data management training

The Library Research Data Management website is a useful source of information. The Library Research Data Management Team provide a regular training session on Research Data Management Essentials and a more detailed course on Safeguarding Confidential, Sensitive and Restricted Data. See the Library workshops for researchers page

#### Academic Integrity

Academic Integrity reflects a commitment to good study practices and shared values. It ensures your work is a true expression of your personal understanding and original ideas, while giving credit to others for their contributions.

As part of your induction you will be required to complete an online Academic Integrity tutorial and test. The online, interactive tutorial and test is designed to give you an overview of academic integrity, and what good academic practice means during your research degree at Leeds, at the end there will be a test to check your understanding. You can find out more on the <a href="Postgraduate">Postgraduate</a> Research Academic Integrity, Research Integrity and Research Ethics page of the For Students site. At the University of Leeds, you are part of an academic community that shares ideas and develops new ones.

As part of this, you need to position your research in the context of research by others. Academic integrity is about making sure you do this correctly and acknowledge the work of others, thereby avoiding plagiarism.

The <u>University library website</u> offers a wealth of information about academic integrity and how to avoid plagiarism, which is relevant to your practice as a postgraduate researcher.

# What is plagiarism?

The University defines plagiarism as:

"Presenting someone else's work, in whole or in part, as your own. Work means any intellectual output, and typically includes text, data, images, sound or performance"

Importantly, plagiarism covers all work that you will produce. In extreme cases, this may take the form of submitting a thesis, a transfer report or other written or practical work, significant parts of which are simply copied from the work of another. It remains a serious matter even where it relates to minor elements and has been caused by poor standards of scholarship rather than intentional cheating.

The University takes cases of plagiarism very seriously. Cases of plagiarism can ultimately lead to you being withdrawn from your research degree study. Where there is suspicion of plagiarism, the process steps are detailed in the <u>University's policy Investigating Plagiarism in Research Work.</u>
Where there is suspicion of plagiarism, the process steps are detailed in the University's policy Investigating Plagiarism in Research Work.

In cases of suspected plagiarism, you may seek independent advice and support from the Leeds University Union Student Advice Centre: <a href="mailto:advice@luu.leeds.ac.uk">advice@luu.leeds.ac.uk</a>.

#### Intellectual Property

As a postgraduate researcher, you will have the potential to make discoveries that could have real benefits to business and society. This value, and your relationship to it, are covered by the University's Intellectual Property (IP) Policy.

IP may be regarded as 'knowledge and its creative application'.

The policy sets out the University's position regarding the ownership of intellectual property (IP) developed by staff, students [including postgraduate researchers] and certain others, together with the procedures in place for commercialisation of University-owned IP. It covers all University related activities, including research and innovation and student education.

These points illustrate what IP can mean and how it can relate to your work as a postgraduate researcher.

- where the IP you generate is as part of an activity where a third party requires ownership, such as if you produce work as part of a placement or where research is sponsored and the sponsor requires ownership
- you generate IP that builds upon existing IP generated by University staff
- you generate IP jointly with University staff
- you are recruited on a specific understanding that, due to the sensitivity of the environment, your IP position is different.

Each of these instances is covered in Section 7 of the University's IP Policy.

#### Copyright

What copyright means and how it applies to your work as a postgraduate researcher can be confusing.

You might hear terms like 'fair use' in reference to not seeking permission from copyright holders and have seen large portions of other people's work presented in lectures. You also might wonder why copyright is even an issue when you are using material for educational purposes.

Fair use is acceptable in certain cases, such as using material for private research or study. However, your thesis has the potential for far-reaching impact, so copyright is an important consideration. With that in mind, copyright should not be seen as a barrier to including material.

When you submit your work, you also publish an eThesis (electronic thesis) online in the White Rose eThesis repository. As this is a form of electronic publication, you can only include material in your eThesis that you have copyright approval for or that you have permission from the copyright holder to use. Guidance on eThesis submission is available at the Submitting your Final eThesis page.

There are several benefits of publishing your thesis in the White Rose repository:

- your thesis is more likely to be widely read
- theses are immediately available and readily searchable
- the worldwide visibility of UK higher education research is increased
- underused primary research is made available
- your profile as a researcher, and the profile of your institution and individual schools may be raised
- eThesis submissions can help you meet the requirements of your funding body (for example, research councils). For more information, please see the Guide to the thesis examination

process. You can find this on the <u>Policies and Procedures page of the Student Education Service</u> (SES) website.

#### What material might you use?

- You might include diagrams or charts created by other scholars to visualise ideas
- You might analyse data sets produced by other researchers
- You may critique artwork or photographs created by others

#### Structuring your thesis

Before you begin, remember that your thesis must be in a format suitable for electronic publication.

Below is a short checklist based upon experience gained from scenarios that have arisen since an eThesis approach was brought into the University.

- Consider copyright from the beginning of your research.
- Keep records of any materials you may want to use as you find them, including images and information found online. Also include where and when you found them.
- Investigate how copyright might affect how you structure your thesis, for example, will redacting (removing or obscuring) copyright material impact on how it is understood?
- Seek permission from the copyright holder early on to include copyright material in the electronic version of your thesis.

#### **Permissions**

You will need to contact the copyright holder and request permission to include the material in your ethesis. But in some cases, it may not be possible to gain permission. This could be because permission is declined, you do not receive a response or because there is an expensive fee to use copyright material.

This means that you may not be able to make the full text of your awarded ethesis available online and you may need to submit a redacted eThesis.

Visit the Library website copyright pages for further advice.

You can find information on:

- when you need to seek permission from the copyright holder
- how you can use third party content in your thesis
- information about copyright relating to publishing material from your thesis
- licensing your thesis for others to use and protecting your rights as the author
- letter templates for requesting permission from the copyright holder.

#### White Rose E-theses online

All PGRs are required to upload their final, examined thesis to our e-theses repository, White Rose E-theses Online (WREO), as a single PDF file.

Any additional content that is examined (for example video files, web pages) should also be uploaded to WREO.

This must be the version that has been approved by the internal examiner, and it will have had any corrections or editorial amendments incorporated within it.

Any embargo period that is to be applied has to be agreed with your supervisor, bearing in mind any maximum embargo lengths that may be permitted by your funder.

You can find more information about how to upload your thesis to WREO on the <u>Library website</u>. If you have any queries during the upload process, or need advice on file types, please email research@library.leeds.ac.uk

Further information on thesis submission can also be found on the <u>Submitting your final ethesis page</u> of the For Students website.

- If your thesis is eligible for submission under the protocol which allows for published material to be submitted alongside a written commentary, please refer to the <u>Faculty Protocols</u> for the format and presentation of an alternative style of doctoral thesis including published material for further guidance.
- If your thesis is to be considered for the practice-led route (specific to the Faculty of Arts, Humanities & Cultures), then please refer to the <u>guidance and notes</u> that discussions need to start from the outset of your studies.

Any queries can be directed to rp examinations@adm.leeds.ac.uk

#### **ORCID**

An ORCID iD is a digital identifier that distinguishes you from every other researcher. Having a unique identifier ensures that the data about you and your body of work is accurate and correctly linked to your researcher profile. It also improves the visibility of the research.

You need to register for an ORCID identifier. There are two possible ways you can do this:

- ORCID's website
- <u>Symplectic (University publications database)</u> go to "My Actions", select "Add ORCiD" and register your details.

For more information on the uses and benefits of ORCID, please see the <u>Increase research visibility</u> page of the Researcher Support website

#### Health and Safety

#### Induction

To ensure you have the correct information about Health and Safety in research you should complete the Safety in Research induction for postgraduate researchers.

You may also be required to complete a local health and safety induction before you can undertake your research. For instance, if you will be working in laboratories and/or other types of research facilities. You will receive further information from your Graduate School.

Wherever you are working in the University there will be Health and Safety requirements which you need to be aware of and follow on a day-to-day basis. Your local induction should cover these and where to find more information. You may also find procedures, processes, risk assessments and training requirements depending on the area you are based in.

#### Travel and Fieldwork

PGRs travelling overseas or organising fieldwork need to use the risk assessment processes in place in their School/Faculty to minimise risk and ensure that as a University we fulfil our duty of care to protect staff, PGRs and other affected people.

Wellbeing, Safety and Health Services set out what the University requires of staff and PGRs in respect of travel and/or fieldwork health and safety considerations, along with guidance to help achieve this. For further information, please see the <u>Fieldwork page of the Wellbeing, Safety and Health website</u>.

For information about local arrangements for travel; including risk assessments, please <u>contact your Graduate School</u>.

#### Pregnancy

Advice on health and safety issues relating to pregnant students may be sought from a School/Faculty Health and Safety Coordinator or Health and Safety Manager and/or University Health and Safety Services. Please see the Policy on support for pregnant students and students with very young children on the Equality and Inclusion Unit webpages. to view the Health and Safety risk assessment guidance and the Risk Assessment template.

#### **Disability Support**

If you are a disabled student and have any questions relating to Health and Safety and your disability, please contact your <u>School/Faculty Health and Safety Coordinator or Health and Safety Manager</u> and <u>Disability Services</u>.

#### **PGR Placements**

If you are engaged in a formal placement in conjunction with another organisation then it is necessary for a formal agreement to be in place to ensure you remain healthy and safe. <u>Contact your Graduate School</u> if you will be undertaking a placement

#### Lone Working

Within the University there are many reasons why people may need to work alone – e.g. working out of normal hours when there are fewer people around, or being in a remote location. It's important to think about how to keep lone workers safe. For more information see the <u>Lone</u> Working page on the Wellbeing, Safety and Health website.

You should also download the <u>Safezone app</u> which has a check in timer. You can use this to share your location with the Security team if it would help you to feel more comfortable, for example if you are working alone in a building.

# Induction and welcome

As a new postgraduate researcher, you will receive a full programme of induction resources to welcome and introduce you to the University, your fellow researchers and what support is available to you.

We have created a Doctoral College induction programme based around the five central concepts of the Leeds Doctorate:

- Your academic experience
- Your professional development
- Your research community
- Your wellbeing
- Your global engagement

The induction resources will be available online from 25<sup>th</sup> September 2023. Please check <u>back on this</u> <u>page</u> to access the Doctoral College induction programme from this date. You can work through at your own pace and come back at any point in your candidature to refresh your memory.

We also recommend taking some time to review the <u>Induction checklist on the For Students</u> website.

More local induction provision will be provided across your school or faculty. <u>Your Graduate School</u> will send out information about registration, getting started and any local inductions or welcome events. If you have questions regarding local induction information, please email your Graduate School. Local inductions usually cover:

- Faculty and school building locations and access
- PGR workspaces (desks, laboratories, etc)
- Training such as health and safety
- Specific faculty and school policies
- Research groups/clusters that you are part of
- Social opportunities, events and societies

# PGR-Supervisor agreement

The PGR-Supervisor agreement is designed to encourage you and supervisors to openly discuss topics such as working arrangements, supervisory team roles, etc.

This will help ensure that everyone involved has a clear understanding of expectations and help you to get to know your supervisors and how to get the most out of your supervisory relationship.

It will be compulsory for all new PGRS from Sept 2023. Current PGRs are also encouraged to complete the agreement.

A guide to the process is <u>available on the For Students website</u>. The form will be available from GRAD and is also <u>available on the For Students website</u>.

#### Year one:

The agreement should form part of your first supervision meeting, and the completed agreement form should be uploaded to GRAD as a training event.

You will also be reminded to review and update the agreement as part of your First formal progress report, and at the transfer stage.

#### Year two onwards:

The agreement should be reviewed and if needed, amended, as part of the Annual progress report. It should be uploaded as part of the files for the report.

# Changes to supervisory team:

If any changes are made to the supervisory team, you and your new supervisory team will be prompted to review and update the agreement.

If you have any queries about the process, please contact your Graduate School.

# Postgraduate Research Academic Integrity, Research Integrity and Research Ethics

The University is a signatory to the <u>QAA Academic Integrity Charter</u> and <u>Research Integrity Concordat</u> which outlines our commitment to upholding the highest standards in relation to academic integrity and research integrity respectively. These commitments include PGRs.

**Academic Integrity** reflects a commitment to good study practices and shared values. It ensures your work is a true expression of your personal understanding and original ideas, while giving credit to others for their contributions.

**Research Integrity** involves conducting research in such a way to establish trust in your methods and findings. It encompasses researchers' values and behaviours, resulting in high-quality, ethically sound, and rigorous research across all stages, from project planning to dissemination of outcomes.

**Research Ethics** centres on safeguarding the well-being of individuals, animals, society, and the environment impacted by your research. In conjunction with legal requirements, it encompasses the ethics peer review process to prevent potential harm and assess any risks, ensuring ethical considerations guide your research endeavours.

All PGRs should complete the academic integrity, research integrity and research ethics training, whatever stage you are at in your studies.

We understand that you will already have experience in producing academic work and are likely to be familiar with the practices and conventions covered in the training. Some of this content might also be familiar to you if you completed your undergraduate or taught postgraduate studies at Leeds. Nevertheless, the training will ensure that your formal assessments at the transfer and thesis examination stages meet the University's academic integrity and research integrity expectations.

For new PGRs, completing the PGR Academic Integrity Tutorial and Test, and the online tutorials in research integrity and research ethics should be included in your <u>training plan</u> agreed between you and your Supervisors within the first 3 months.

All new PGRs are expected to complete this academic integrity, research integrity and research ethics training within the first 6 months of study (9 months for part-time study) before the First Formal Progress Report stage.

# Academic Integrity Tutorial and Test

#### What is the PGR academic integrity tutorial?

The PGR academic integrity tutorial will give you an overview of academic integrity, and what good academic practice means during your research degree at Leeds. It will cover:

- Definitions and expectations of academic integrity
- Good academic and study practices

- Referencing, citing, and quoting
- Note making and summarising
- Plagiarism and other forms of academic malpractice
- Sources of advice and support

The tutorial will take about 20 minutes to complete and at the end there is a test to check your understanding

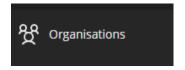
How do I access the PGR academic integrity tutorial and test?

The PGR academic integrity tutorial and test is available through the Leeds Doctoral College Minerva organisation. All PGRs (both new and continuing) will be automatically enrolled onto this tutorial.

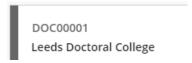
To access the tutorial:

Log in to Minerva with your usual student university IT account details.

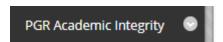
Select 'Organisations' from the left hand menu



Access the Leeds Doctoral College Organisation in Minerva



Select "PGR Academic Integrity" from the menu on the left-hand side



This will launch the tutorial. At the end of the tutorial there will be a test containing 8 questions. You must score 8/8 to pass the test and you can take the test as many times as you need to. Passing the test will record the tutorial as "complete" in Minerva

#### What if I cannot access the tutorial?

If you have any trouble accessing the tutorial, please check that you have completed <u>registration</u>. After completing registration, please wait at least one day to try again, and if you are still having difficulty, please <u>log a ticket with IT</u>.

#### Research Integrity Online Training

This training is designed to help you explore what research integrity means and your responsibilities as a researcher in maintaining standards of good research practice. The resource encourages your reflection on why research integrity matters and how to tackle challenges you may face on your research journey. You can access the training through the <a href="https://doi.org/10.2016/journey-10.20

#### Research Ethics Online Training

This training introduces your responsibility to fully consider the ethical implications of your work, apply the core principles of research integrity and how to apply for ethical approval (as appropriate to your project). The ethics review process should be approached as a positive experience and not a barrier to your research, alongside the training please talk to your supervisor about research ethics and the possible requirement for ethical approval before starting your project. You can access the training through the OD&PL Training Catalogue.

#### **Examination expectations**

All research degrees are assessed by the written thesis and a viva examination. Your viva may be inperson on Campus, fully on-line or a hybrid. The purpose of the viva is to ensure that the work you have submitted for examination reaches the University standards for the degree, that the work submitted is your own, and that you understand and have intellectual ownership of the work you have submitted. The most productive way to approach the viva is to regard it as an academic discussion about your work — this is your chance to discuss what you have been working on with two or three other academics from outside your supervision team. It is also an opportunity to discuss your work with experts in the field - including plans for publication and further development. At the same time, the viva is a formal University examination so there are some formal requirements. There are certain expectations about the material you can have with you and who might be in attendance. The Guide to the thesis examination process covers these in more detail. You can find the Guide to the thesis examination process on the Policies and Procedures page of the Student Education Service (SES) website.

#### Other advice and support

Your supervisors will provide you with academic support as you work on your transfer or thesis. If you have any queries about academic integrity you can talk to your supervisors, your Director of Postgraduate Research Studies or <u>your Graduate School</u>.

Please take time to read the <u>Postgraduate research proof-reading policy and guidance</u> which includes information regarding the University policy on Artificial Intelligence tools in academic work.

The <u>Researcher@Library website</u> includes various sources of research support and advice, as well as <u>courses that are available to book</u>. They also have resources covering <u>academic integrity and plagiarism</u>.

Support with academic writing is available through <u>Organisational Development and Professional Learning (ODPL)</u>. Academic Writing Skills courses are also offered by the <u>Language Centre</u>

| More information about good Research Practice please visit the Research Practice page on For Students Website.   |
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| Supervision meetings   |
| Supervision meetings allow you to receive guidance on your research, and help your supervisors to monitor your progress and how best to support you.   |
| t is important that you understand what counts as a supervision meeting, and what does not. As a minimum, a formal supervision meeting requires the academic engagement of both you and at least one of your supervisors, in a dedicated time and space, to discuss matters relating to your research. |

• For full-time study, you must have a minimum of ten formal supervision meetings per year.

How often should supervision meetings happen?

You should meet with your supervisor regularly:

• For part-time and split-site study, you must have six formal supervision meetings per year.

The pattern and timing of your meetings will vary according to what stage you are at in your studies, and your subject area. However, meetings should occur regularly:

- For full-time study, you should have gaps of no more than eight weeks between meetings.
- For part-time study, you should have gaps of no more than 12 weeks between meetings.

GRAD records are checked by your Graduate Schools to ensure that you are attending supervision meetings regularly. This is part of the Attendance monitoring policy, available from the <u>Policies and procedures page of the SES website</u>.

The responsibilities of the supervisors, the PGR and the school are outlined in the Code of Practice for Research Degrees, available from the <u>Policies and procedures page of the SES website</u>.

# How are supervision meetings recorded?

Records must be kept of all formal supervision meetings in GRAD. It is your responsibility, in partnership with your supervisor, to keep these records. An email is not acceptable as a meeting record. Either you or your supervisor can create a supervision meeting record. After the meeting, you are both required to agree the supervision meeting record is accurate. On GRAD you can review guides to how to share notes before a meeting and how to record notes after a meeting, these are available under the Guides section. It is important to record any problems you encounter during your research in your supervision meetings, as they may be used as a record later on.

#### Can supervision meetings take place online?

Supervision meetings will normally take place in person; they may take place by other means, eg video streaming or Teams etc, when you or your supervisor is away from the University, including fieldwork or for split-site, distance-learning or other collaborative programmes. Online supervision meetings should not be considered a standard alternative to in-person meetings, except where when you or your supervisor is away from the University, or if agreed as part of a reasonable adjustment. Supervision meetings cannot take place over email. The only exception to this is when a PGR is on fieldwork with limited communication options, and emails ensure that supervision can still take place.

#### Supervision meetings during overtime

In order to keep the research on course for submission, it is expected that your supervisor will continue to monitor your progress during overtime. In this period, supervision meetings may be in the format of reading and commenting on draft chapters of the thesis. Full-time PGRs will continue to be entitled to no fewer than 10 supervision meetings per year, and part-time candidates no fewer than six per year. A written record of these meetings must be kept in GRAD.

# Support and wellbeing

There is a wide range of support available to you during your time at the University, including counselling, disability services, help with cultural differences and much more.

All information is available on the <u>University support and wellbeing website</u>.

You can meet with the DPGRS in your school at any time during the year to discuss any academic or personal issues. You should be offered a meeting with them (without your supervisor) at least once a year by your Graduate School.

If you are not sure who can support you with the challenges you are facing, start with <u>speaking to your Graduate School</u> or supervisor. They will be able to point you in the right direction of what resources or further advice you might need.

#### Mental health support

The <u>Student Counselling and Wellbeing service</u> offer free and confidential support to students and PGRs. Available support includes face-to-face and online counselling, wellbeing, and mental health support, same day drop-in sessions, groups and workshops, meditation, self-help resources and more.

The service is open Monday to Friday, 9am-5pm, all year-round excluding University closure periods such as bank holidays, Easter, Christmas and New Year.

You can also access <u>Togetherall (formerly Big White Wall)</u> which provides a safe space online to get things off your chest, explore your feelings and learn how to self-manage your mental health and wellbeing.

Nightline is available 8pm – 8am any night of the week via instant messaging or phone line service.

#### Leeds University Union

LUU has a dedicated <u>Help and Support team</u> who provide independent, confidential, expert advice for free to all Leeds students including PGRs.

LUU offer a range of support, including:

<u>Clubs, societies and social events</u> such as organised trips to local attractions

Independent help with academic issues such as appeals and complaints

**Budgeting advice and financial support** 

Mental health, relationship and addiction advice

#### Equality and Inclusion

The University of Leeds is committed to the principle of equality and is determined to treat all PGRs fairly and avoid all unlawful forms of discrimination on grounds of gender (including pregnancy, trans status or marital status), race (including colour, nationality, ethnic origin or national origin), sexual orientation, disability, religion or belief, and age. If you have any concerns relating to an equality or diversity issue within your school or faculty, or if you would like to suggest any improvements, please contact your Graduate School.

The Equality and Inclusion Unit have resources around a number of areas including guidance to support <u>Trans staff and students</u>, students who are pregnant, students who have very young children, plus many more. <u>Their policies and guidance can be accessed on their website</u>.

#### Pregnancy

If you become pregnant during, we encourage you to discuss your support needs at an early stage of the pregnancy. You may approach any member of staff with whom you feel comfortable. You can also review the information and advice in the <u>University's policy on support for pregnant students</u> and students with very young children.

You may also wish to review the guidance on extensions and suspensions. More information is available on the <u>Taking time out from your research page of the For Students website</u>.

#### Parental leave

Periods of parental or adoption leave are normally managed under the suspension of studies process (and/or authorised absence). Periods of suspension for parental or adoption leave are not included towards the normal overall limit on suspensions/extensions over a candidature. Suspensions of studies are recorded in whole months, however you can start or return from a period of leave at any point in the month, by recording some of the period of leave under the authorised absence category, in addition to any period of suspension. You may also choose to take any period of annual leave immediately before or after a period of leave. If you are in receipt of a scholarship or other funding, please contact your Graduate School Office who can discuss possible parental leave pay arrangements with you.

# PGRs who are parents or carers

We believe that being, or becoming, responsible for a child or dependent adult should not be a barrier to your research. The University is committed to being as flexible as possible, whilst, at the same time, making sure that any accommodations made for you will not compromise academic standards. Further information can be found in the <u>policy on support for pregnant students and students with very young children</u>, and the <u>policy on support for students who are parents or carers</u>.

Studying whilst also acting as a carer can be challenging. If you find yourself in a situation where you are struggling to manage your commitments please contact your Graduate School office who can advise you of the options available.

#### Support for disabled PGRs

The University of Leeds is committed to providing high-quality advice and support for PGRs who:

- are D/deaf or hearing impaired
- are blind or visually impaired
- have a physical disability, and/or mobility difficulties
- have a specific learning difficulty (for example, dyslexia or dyspraxia)
- have a neurodevelopmental condition (eg, AD(H)D)
- have a neurological condition (eg MS, epilepsy, Tourette Syndrome, stammer)
- are autistic
- have a mental health condition
- have a long-term medical condition (eg chronic fatigue syndrome, asthma, diabetes, cancer, HIV)
- have a combination of these

If any of the above applies to you, the Disability Services team will be pleased to talk to you about the support that you need for your studies. For more information about disclosing your disability, funding, and support, please visit the <u>Disabled PGRs website</u>. Any disclosure you make will be treated with discretion and information will only be shared with your permission.

You can self-refer to Disability Services at any point. It is recommended to contact Disability Services several months before important events like transfer or viva to allow time for the support to be put in place. If your health/learning challenges change during your studies, please keep the Disability Services team up to date with your situation.

You are also very welcome to talk to your Graduate School directly about any adjustments or support that you might require. Contact your Graduate School to arrange a time to speak to someone.

# Reasonable Adjustments

The process for identifying and agreeing support and reasonable adjustments for the transfer viva and final oral examination is set out in the <u>PGR Reasonable Adjustments document available from the Student Education Service (SES) website</u>. It is important that the need for reasonable adjustments is identified well in advance of the transfer and viva process to allow due consideration to be given prior to assessment/examination. You should work in partnership with your Graduate School and Disability Services to identify when reasonable adjustments may be required for the transfer and viva processes, and what those reasonable adjustments might be. There is more information on the process on the <u>Disabled PGRs</u> page.

# Prayer, contemplation and faith-based support

The University has a <u>number of spaces</u> on campus available for prayer and quiet contemplation, whether you practise a particular faith or not. If you practise a faith, you can be confident that you will be able to follow your beliefs in Leeds and find support and information related to your faith. You can also access support through the <u>University Chaplaincy</u>, whether you are a person of faith or not.

# Financial hardship

If you're in financial difficulty, you may be able to apply for financial support from the University to help with living expenses.

The Leeds Financial Assistance Fund is a University-funded scheme for students and postgraduate researchers who are facing genuine or unexpected financial difficulties and who do not have alternative sources of financial support. You can find more information about the <u>Financial</u> Assistance Fund on our For Students website.

#### Campus security

The University's <u>Security Service</u> operates 24 hours a day, every day of the year. They offer crime prevention advice, patrol the campus, investigate crimes and respond to alarms and emergencies. In addition, if you feel you are witnessing someone in a mental health crisis, the Security team can provide you with advice and support, particularly if this occurs outside of standard office hours. You can contact Security on 0113 343 2222.

<u>SafeZone</u> is an app that everyone on campus can use, to give you extra peace of mind and is linked to the University's Security team 24/7.

The app is free and easy to use. It has three main functions:

- For help with a physical or mental health incident, press the green First Aid button if you are unable to follow local procedure, and a member of the Security team will assist you.
- Press the blue 'non-Urgent' button to connected to Security in a non-emergency situation, for example, if you are locked out of a building.
- The red 'Emergency' button is for threatening situations when you are in immediate danger.

The app also has a check-in timer function. You can use this to share your location with the Security team if it would help you to feel more comfortable, for example if you are working alone in a building. Set the timer and if they don't hear from you by the time it runs out, they'll check in to see if you're ok.

The check-in function has a drop-down menu with a notes section. You should include details of your location and your activity, as well as the details of who to contact in case of emergencies.

You can use the SafeZone app on the main University campus, the University sports facilities at Weetwood and Bodington.

# Harassment, abuse or sexual assault

The University is committed to providing a safe environment for all students, PGRs and staff. You can anonymously report assault, hate crime or sexual assault through the online reporting system Report and Support. There are many ways you can seek support through the University or the local community. If the situation is an emergency, call 999 or contact Security on 0113 343 2222 if you are on campus.

#### Accommodation

Please go to the <u>accommodation pages of the university website</u> for more information on University residences, residence life at Leeds, and how to get in touch with the Accommodation team who can help you with any queries.

If you are experiencing any issues with your accommodation, whether that is University residences or privately rented houses/flats, you can contact the <u>Leeds University Union help and advice team</u> for advice.

#### International Student Advice and Support

If you need immigration advice or information on services available to the international student community (including events and activities), go to the <u>International Student Advice and Support</u> website or contact the <u>Student Visa Advice Team</u>.

# Other useful links

- PGR reps
- How to support a friend

# Training and research skills

Accessing training for your individual circumstances will help you to complete your research on time and achieve your personal and professional development goals.

# Accessing training resources and providers

A variety of professional services contribute to your personal and professional development:

<u>Organisational Development and Professional Learning</u> (OD&PL) are the University's primary training and development provider. They provide short courses, one-to-one advice, coaching, mentoring, personal development planning and online resources for PGRs and staff.

<u>Researcher@Library</u> offers workshops and webinars for support with literature searching, open access, research data management, using EndNote, and increasing the visibility of your research.

<u>LinkedIn Learning</u> offers over 5000 short courses across business, creative, technical specialties and research software and coding. All students and staff have access to LinkedIn Learning via through our institutional subscription. You do not need to have a LinkedIn account to access the content, but if you do, you can <u>connect your account</u>, which will allow you to publish your course completions to your profile. There is also the <u>Doctoral College collection</u>, bringing together our resources and recommended external courses.

The Careers Centre offer guidance and support for issues which are specific to PGRs, including:

- Deciding what to do after your research degree
- Destinations of PGRs; what have graduates in your area gone on to do?
- What the job market looks like for researchers
- Planning a career in academia, or the many alternative paths open to PGRs

You can book a one-to-one appointment with a Career Consultant to talk through any questions you might have.

You may also find it useful to look at the <u>Researcher Development Framework</u> (RDF), a reference point for researcher development widely used in the UK and internationally. The RDF was developed by <u>Vitae</u>, the UK's national organisation for the development of researchers. The University of Leeds is a member of Vitae: you can set up an account using your university email address which will provide you with access to the full resources of their website.

Your school or faculty will also offer support, and you can also ask to meet with the Director of Postgraduate Research Studies for your school to review progress and any matters of concern.

# Getting started with your training Training and development plan

Having an effective training plan will help you to make the most of the training opportunities available during your research degree. It is worth taking time to create, review and revisit your plan. Please discuss your needs with your supervisor and make sure you record your training plan on GRAD within three months of starting your studies.

# Key training courses

These are the key courses to help you understand the requirements and stages of your research degree. You should refer to these in your first few weeks and months of starting your research degree, but you can also come back to them at any time throughout your studies.

#### Doctoral College induction programme

This will help you to understand the requirements of the first stages of your research degree and the journey to transfer. You can find out about the programme on the <u>Induction and welcome page of the For Students website</u>.

# Working with your supervisor

This course will help you to develop and maintain an effective working relationship with your supervisor. This will help you to make the most of your supervision meetings through planning and preparation and develop strategies for dealing with current challenges or anticipating future ones. The course is accessed via a Microsoft sway.

#### Data Management training and support

You should have a data management plan in place by no later than the transfer stage, and your plan will be checked again during your annual progress reviews. You can find help and guidance on the <u>Library Research Support website</u> including a number of <u>courses</u> to help you with research data management. The Library Research Data Management team are available if you need help and advice on <u>researchdataenquiries@leeds.ac.uk</u>.

#### Academic integrity, research integrity and research ethics training

This training will develop your awareness of what research integrity is, how that relates to research ethics and to help you to apply these principles as you conduct your research. The ethics tutorial outlines the importance of considering the ethical implications of your research and will help you write an ethics application.

The PGR academic integrity tutorial will give you an overview of academic integrity, and what good academic practice means during your research degree at Leeds. The tutorial will take about 20 minutes to complete and at the end there is a test to check your understanding.

You can read more about both strands of training and access the resources via the <u>Postgraduate</u> research academic integrity, research integrity and research ethics page of the For Students website.

# Developing your Academic Writing

In partnership with the Language Centre, OD&PL currently offer three workshops to help PGRs with their academic writing. Each workshop is suitable for a different stage of your research degree:

- The Beginning Thesis Writer for PGRs who are at the beginning of their studies (pretransfer).
- The Developing Thesis Writer for PGRs who are post-transfer or mid-way through their studies
- The Finishing Thesis Writer for PGRs who are in the process of drafting their thesis for submission.

You can find dates for the next sessions by searching for the courses on the <u>Training catalogue</u> <u>website</u>.

#### English Language

During your first year of study (pre-transfer and ideally in the first six months), the Language Centre offers optional courses to help with your <u>academic English language development</u>. This includes core Language Skills courses and workshops, and one-to-one writing consultations.

#### Key Travel

The Purchasing team run a regular training webinar for PGRs on using the Key Travel online booking tool covering how to create an itinerary, how to book and the approvals process. Register online.

# Later on in your research degree

#### Post-transfer to completion

Once you are through transfer, this course will help you think about the next stage in your research degree. As your research develops, you are likely to identify additional training needs. You should

also actively look for professional development opportunities, such as conference presentations, teaching or outreach work.

There are four parts to the Post-transfer to completion course:

Your situation

Your professional development

Preparing your thesis: The logistics

Your viva

Preparing for Your Viva

Guidance on the viva, including video streamed vivas, is available in the <u>Viva collection section in LinkedIn Learning</u>. Simply use your usual University details to log in.

You can experience a short mock viva by participating in a Your Viva workshop. Information about the workshop and how to book is available via ODPL's <u>Postgraduate Research Professional</u> <u>Development opportunities web page</u>.

If you are unable to attend the workshop, or you particularly want to experience answering viva questions in a video streamed environment, you can request a mock viva by emailing <a href="mailto:researcherdev@leeds.ac.uk">researcherdev@leeds.ac.uk</a>. Please indicate in your email that you would like a mock viva and why you are requesting it. You are also entitled to ask your supervisor to do a mock viva with you; support from OD&PL is offered in addition to any support from your supervisor.

# Training Plan

Within the first three months of your research degree, you should agree a training plan with your supervisor.

This is an opportunity to think about any support you may need in terms of your research or broader development at the beginning of your research.

Progress against the plan will be reviewed as part of the progress monitoring arrangements, including the first formal progress report, at the transfer stage and at the annual progress reviews. The University offers wide ranging personal and professional development opportunities for PGRs. Please see the Personal and professional development for Postgraduate Researchers page of the University website.

You may also find it useful to look at the <u>Researcher Development Framework (RDF)</u> on the Vitae website, which is a reference point for researcher development widely used in the UK and

internationally. The RDF was developed by Vitae, the UK's national organisation for the development of researchers. To find out more, visit the <u>Vitae website</u>. The University of Leeds is a member of Vitae: you can set up a login account to their website using your university email address, which will provide you with access to the full resources of their website. The training needs analysis tool in the development section of GRAD is based on the Vitae framework and designed to support you in thinking about your development needs.

Your supervisor may suggest that you attend some taught modules to cover any gaps in your knowledge or to refresh your understanding of certain areas. You can take part in a module without assessment, this is known as "auditing". Please review the page Module enrolment for postgraduate researchers on the For Students website and speak with your Graduate School.

Recording of the training plan is within the University GRAD system in the development section. There is also a guide to recording your training needs and completing your training plan; this is available under the 'Guides' section on GRAD.

# First formal progress review

Progress reviews are different to supervision meetings and enable you to take stock of where you are in your research plan, your progress so far and what remains to be completed.

For PhDs, the FFPR provides a good opportunity to consider the status of your research project ahead of the transfer assessment. After passing transfer you will have a progress review each year until you submit your thesis.

For Masters by Research, the FFPR is a chance to reflect on your progress towards thesis submission.

The FFPR should be submitted by six months after your start date (full time) or by nine months (part time). The exact timing and procedures for the first formal progress review vary between individual faculties and schools; your Graduate School will provide you with more detailed guidance, usually by email.

You will submit your report through <u>GRAD</u>; there is a guide to the process under the GRAD guides section which shows you the different stages and how it will look on screen.

In your report, you should look back on the previous months of research and reflect on your progress. Focus on your training plan to identify skills that you would like to develop. Have you completed the training that you planned to do? How are you getting on with your literature search? Do you have any results yet? This can all be recorded in your FFPR. It is important that you include any issues which you feel have affected your progress. How did they affect your progress and how will you get back on track? What support do you need?

#### What to expect

You can access training and more information on the FFPR process via the Your academic experience section of the Doctoral College induction programme. The programme is on the <u>Induction and welcome page on the For Students website</u>. It also includes important guidance about academic integrity and avoiding plagiarism, ethical review, data management and copyright. It is important that you have reviewed this material as you will be required to complete a statement of academic integrity when you submit your transfer report and your thesis for examination, and your engagement with the ethical review and data management processes are assessed as part of the FFPR, transfer process and Annual progress reviews.

You will be expected to have a data management plan in place by no later than the transfer stage. The <a href="Researcher@Library team">Researcher@Library team</a> can help support and you can find help and guidance on the <a href="Library Research Support pages">Library team</a> can help support and you can find help and guidance on the <a href="Library Research Support pages">Library Pages</a> including a number of <a href="Courses">Courses</a> to help you with research data management, referencing, writing and more. <a href="Email the Library Research Data Management team">Email the Library Research Data Management team</a> if you need help and advice. The Library can also provide a Data Management Plan (DMP) template.

Once you submit your FFPR through GRAD your supervisor will assess your overall progress, including progress against your training plan. They will also comment on your standard of English language, both your written and communication skills. Your supervisor will arrange a meeting with you to discuss your FFPR. This is intended to be a supportive meeting to help you with forward planning towards transfer. It is also an opportunity for you both to raise any issues with your progress and to work together to identify solutions.

For some faculties and schools, you may need to submit a piece of written work as part of this process as well. Make sure you follow the advice from your Graduate School about FFPR submission criteria.

For PGRs who started from Sept 2023 onwards, you are expected to review your <u>PGR-Supervisor</u> <u>agreement</u> with your supervisors as part of the FFPR process. If you make any changes, please upload an updated copy of the agreement to GRAD.

#### Outcomes

The FFPR is a formative exercise and not a formal assessment which must be 'passed'. However, if there are significant concerns about your progress, your supervisor may start the <u>Progress support</u> procedure.

# Transfer

The transfer assessment will identify whether you and your project have the potential for research at doctoral level and whether completing the thesis within the standard period of study is a reasonable expectation.

# The transfer process

All PGRs working towards a doctorate research degree are initially registered as provisional doctoral candidates and are subject to a formal transfer assessment process within a specified timescale. The assessment at the transfer stage is intended to identify:

- whether you and your project have the potential for research at doctoral level;
- whether it is achievable for you to complete your research and write up your thesis within the standard period of study for your programme.

The University has deadlines for the completion of the transfer assessment, and you can see these on your GRAD record. Some faculties and schools may have earlier deadlines for the submission of

the transfer report; if this applies to you, your Graduate School will contact you in advance with more details.

Your Graduate School will provide you with guidance on the transfer process in your area but this will typically include a written report, plan for completion/Gantt chart and your <u>Data management plan</u>. You will be required to upload this to GRAD and complete a 'Progress report: transfer stage' in advance of the transfer review.

If you are disabled, you may wish to review the Reasonable adjustments policy on the <u>Policies and procedures page of the SES website</u> as well.

#### Transfer deadlines

# Full time research degrees:

- Provisional PhD (36/42 months) or MD: Transfer to be held and decision taken within 12 months. Maximum period after deferral of decision is 18 months from the start of study.
- Provisional PhD (48 months): Transfer to be held and decision taken within 12 months. Maximum period after deferral of decision is 24 months from the start of study.
- Provisional DpaedDent DclinPsychol or DclinDent: Transfer to be held and decision taken
  within 18 months. Maximum period after deferral of decision is 24 months from the start of
  study.
- Provisional EdD: Transfer to be held and decision taken within 24 months. Maximum period after deferral of decision is 24 months from the start of study.
- Integrated degree of PhD and Masters: Candidates are accepted for study to the registration category of Postgraduate Research. After completing the first year, the progress of candidates is reviewed and, where it is satisfactory, registration to a Provisional PhD candidature is permitted. If successful in progressing to Provisional PhD status, candidates will be required to undergo assessment by a formal Transfer Assessment Panel for transfer to PhD status before the end of the second year of study.

Masters by Research cannot normally transfer registration to Mphil or PhD.

#### Part time research degrees:

- Provisional PhD: Transfer to be held and decision taken within 24 months. Maximum period after deferral of decision is 30 months from the start of study.
- Provisional DclinDent, DpaedDent: Transfer to be held and decision taken within 30 months.
   Maximum period after deferral of decision is 38 months from the start of study
- Provisional MD: Transfer to be held and decision taken within 18 months. Maximum period after deferral of decision is 24 months from the start of study.
- Provisional EdD: Transfer to be held and decision taken within 36 months. Maximum period after deferral of decision is 36 months from the start of study.

#### What to expect

You can access training on the transfer process via the Your academic experience section of the Doctoral College induction programme. The programme is on the <u>Induction and welcome page on the For Students website</u>. The training provides further information about the Transfer process and includes important information around academic integrity and avoiding plagiarism, copyright, ethical

review, and data management. It is important you have reviewed this course material as you will be required to complete a statement of academic integrity when you submit your transfer report, and your engagement with the ethical review and data management processes are also assessed as part of the transfer process.

You should use the GRAD workflow to complete your pre-transfer progress report form and submit the work required for the transfer assessment.

Your supervisor will complete their pre-transfer progress report. You and your project are then assessed by a transfer assessment panel. Their decision is based upon an assessment of academic written material that you have submitted and an oral examination or viva. The panel comprises at least two individuals who must be independent of your supervisory team. Your supervisor will normally attend but will not be involved in any decision-making.

For PGRs who started from Sept 2023, you are expected to review your <u>PGR-Supervisor</u> <u>agreement</u> with your supervisors as part of the transfer process. If you make any changes, please upload an updated copy of the agreement to GRAD.

# Recommendation following transfer

The panel will normally inform you of their recommendation at the end of the meeting. The panel will submit a report which will be reviewed by your DPGRS and submitted to the University. You will receive notification of the outcome with access to the report in GRAD at the end of the process. The panel will recommend one of the following:

- For provisional candidatures for doctoral degrees (including PhD), transfer to doctoral registration
- For provisional candidatures for the degree of PhD only, transfer to MPhil registration (not usually available for split site candidates)
- On the first occasion only, deferral of a decision about the transfer for a limited period so your work can be revised and then reassessed; more information below.
- A decision that the candidate withdraw from the research degree candidature

Please note that you will be unable to transfer to Masters by Research.

If a school is unable to recommend that you should proceed to either doctoral or MPhil study within the time limit allowed, then you will be asked to withdraw.

If you are on a Tier 4 or Student visa and receive an outcome other than successful transfer, this may have an impact on your visa and you are strongly encouraged to contact the <u>Student Visas Advice team</u>. You must also contact the Students Visa Advice team if you are on a visa and your project has changed or will change significantly.

If you initially registered for provisional PhD and it is recommended that you proceed to an MPhil and you hold funding such as a scholarship or sponsorship, you must let your funders know so that they can make the necessary adjustment in funding.

#### Deferral of the transfer decision

The transfer assessment panel may recommend a deferral of the transfer decision for academic reasons. The final decision on transfer must be taken within the maximum period permitted for your programme of study.

If your transfer is deferred, the Joint report of the transfer assessment panel, completed by the panel after the first transfer viva, will be available for you to view in GRAD. This report will outline the reasons for the recommendation to defer the transfer decision and the further work required.

Your resubmitted transfer submission should be uploaded to the GRAD system as before. You will now have a task in the GRAD for this, and you can upload your revised report when it is ready. If you have any queries with the process for resubmission please contact your Graduate School. It is important that you resubmit your work for assessment on or before this deadline. If you do not resubmit by this deadline you may not be able to continue with your studies and may be required to withdraw. If you experience any difficulties it is important that you speak to your supervisor, DPGRS or your Graduate School. Please see the advice and support section below.

Once you have resubmitted your transfer report, a notification will be sent to your panel members. The panel may hold a second transfer viva if they feel this is needed (the panel cannot recommend transfer to MPhil or withdrawal without holding a second viva).

# Advice and Support

You should review the report and discuss it with your supervisor. Your supervisor will continue to support you during this period and provide feedback on your work. Regular supervision meetings must be held and recorded in GRAD. If you experience any difficulties, it is important that you speak to your supervisor, DPGRS or your Graduate School. If you require an authorised absence, suspension or extension, you can read about the process on the <a href="Taking time out from your research">Taking time out from your research</a> page on the For Students website, and your Graduate School will be able to advise on the process.

You can also find further information about the different support services, together with information about how to get in touch on the <u>Postgraduate support and wellbeing page on the For Students website</u>.

#### Appealing the decision

You can appeal against an adverse academic decision using the University's appeals procedure, available from the <u>Student Cases website</u>. You must submit your appeal to the University within 20 working days of the date of the formal outcome notification from DCO . If you are considering an appeal against this outcome, we would strongly encourage you to seek independent advice and support from the <u>LUU Student Advice Centre</u>.

Unfortunately, your Graduate School team cannot answer any questions that you have relating to your appeal, including its progress, however they are still here to help support you with other matters related to your research candidature.

#### After Transfer

Once you have completed the transfer process, you should start thinking about the route through to thesis submission.

#### Supervision meetings

Your supervisors will continue to support you by offering you advice and guidance on your research during your regular supervision meetings.

#### Annual progress review

You will be required to complete an <u>Annual progress review</u> on the anniversary of your start date. Your Graduate School will provide you with guidance nearer the time on the material you are expected to submit through GRAD, but this is likely to include a reflection on your progress, a timetable for completion of your thesis (eg Gantt chart) and some form of written work. The review is intended to be supportive and to help planning for the completion of your research and submission of your thesis.

# Registration

You will be required to re-register on an annual basis on the anniversary of your start date. Your Graduate School will notify you when online registration is available. You must re-register within four weeks of the anniversary of your start date.

### Learning outcomes and criteria for award

Success at the transfer stage has no direct bearing on whether you will be successful at final examination, it simply confirms that the transfer assessment panel is satisfied that the project has the potential for success.

If you have not already done so, you should make yourself aware of the <u>criteria for award and learning outcomes</u> for the programme for which you are registered. This is the criteria that will be used by the examiners when examining the thesis.

# Annual Progress review – post transfer

After your transfer, you will have an Annual progress review ('APR'), which will take place each year on or before the anniversary of the start of your studies, until submission of your thesis.

The review is intended to be supportive, and to help planning for the completion of your research and submission of your thesis. It is also an opportunity for you and your supervisors to raise any problems with your progression and to identify solutions.

There are two models for Annual progress reviews:

Model A – an annual review meeting with the PGR, supervisors, and an individual independent of the supervisory team. This model is used by the Faculties of Biological Sciences, Engineering and Physical Sciences, and Medicine and Health.

Model B – an annual review meeting with the PGR and supervisors. A report of the meeting will be produced and reviewed by a committee within the faculty or school. This model is used by the Faculties of Arts, Humanities and Cultures, Business, Environment, Social Sciences.

#### What will you need to prepare?

You should use the GRAD workflow to complete your Annual progress review form and submit the required work. Whichever model is adopted by your faculty you will need to submit the following in advance of the review meeting:

- A reflection on your progress to date, with the opportunity to highlight any issues which may have adversely affected your progress
- Timetable for completion of your thesis eg a Gantt chart
- Any written work required by your faculty or supervisors
- Data Management Plan (required if you started study after 01/09/2019)

A report of the meeting will be produced and will be available to you in GRAD at the end of the process.

For PGRs who started from Sept 2023 onwards, you are expected to review your <u>PGR-Supervisor</u> <u>agreement</u> with your supervisors as part of the APR process. If you make any changes, please upload an updated copy of the agreement to GRAD.

Further information on the process, timescales, deadlines and outcomes is available in the <u>Annual</u> progress review policy on the SES website.

You can access training on the APR process via the 'Post-transfer to completion; viva; thesis format' course on the Postgraduate research and training page of the For Students website.

This module provides further information about the APR process and guides you through the next stages as you move towards preparing for thesis submission and final examination. You can also revisit the Induction to Transfer course at any time, and particularly before assessment points, for guidance around academic integrity and avoiding plagiarism, ethical review and data management. Your engagement with the ethics review and data management processes will be reviewed as part of the APR.

The APR is a reflection and planning exercise rather than a formal assessment, however, if there are significant concerns about your progress the Progress support procedure (PSP) may be started. You can find more information on the <u>Progress support procedure page of the For Students website</u>.

# Module Enrolment for PGRs

As a postgraduate researcher, you can study taught modules in addition to your research if they are useful for your training and are approved by your supervisor.

# Studying modules without assessment (auditing)

If you want to improve your background knowledge in a certain area, you can attend lectures or seminars from relevant taught modules without completing coursework or examinations. This is called auditing a module. As part of your training plan, please discuss any training needs with your supervisor, who may also suggest suitable modules. Your Graduate School can advise on availability and arrange access to the module.

# Studying modules with assessment

Modules are normally taken during the first year of full-time study or the first and second year of part-time study. Your first commitment should always be your research project so make sure you don't take on too much additional work.

If you want to take an assessed taught module speak with your Graduate School. They will advise on availability and help you complete a module enrolment form. The form should be signed by your Director of Postgraduate Research Studies (DPGRS) before it is processed by the Doctoral College Operations team. Please be aware that a fee could be charged.

You can take part in <u>undergraduate modules</u> and <u>taught postgraduate modules</u>.

# Research programmes with taught content

Some research programmes have compulsory taught elements. For instance, 1+3 programmes incorporate a masters level in the first year and are followed by three years of research study. PhD programmes in LUBS often include compulsory taught content in the first year and may include modules in subsequent years. For more information, please see the <a href="Training and development page">Training and development page</a> on the LUBS website.

If you are registered on a programme with compulsory taught content, your Graduate School will tell you everything you need to know about the modules and how to access the teaching.

# Progress and attendance monitoring

To help you complete your research degree on time, your attendance and your progress with your studies will be monitored.

Please see the <u>Code of practice for research degree candidatures</u> for a summary of the responsibilities of postgraduate researchers. The code of practice also provides a summary of the responsibilities of a supervisor.

### Progression milestones

There are four main processes or events which we use to monitor your progress, all supported by the GRAD system. These are:

- Supervision meetings
- Your first formal progress review
- The transfer process (for doctoral candidates)
- Your annual progress reviews

Your supervisors will produce regular reports on your progress as part of the above processes, as well as monitoring your progress in acquiring generic and subject/professional skills. Your progress will be reviewed against your training plan and relevant learning outcomes.

At each stage you will be asked to provide a review of your progress and be given the opportunity to comment on any issues which have had a negative effect on your progress.

You can review the deadlines for your own milestones on GRAD. Please note that deadlines can vary depending on your programme and your school or faculty requirements.

# Supervision meetings

Your Graduate School will check your supervision meeting records regularly to ensure that you are attending meetings regularly. Records must be kept of all formal supervision meetings in GRAD. It is your responsibility, in partnership with your supervisor, to keep these records.

For details of how many meetings are required, as well as other information about the role of the meetings, please see the Supervision meetings page of the For Students website.

# First formal progress report

This will be completed at six months of study (full-time) or nine months (part-time). The report will include an assessment of your progress, progress against your training plan and an assessment of English Language ability. More information is available on the <u>First formal progress report page of the For Students website</u>.

# Progress report: transfer stage

If you are registered on a doctoral programme, the Progress report: transfer stage will be take place immediately before the transfer stage. The report will include an assessment of your work to date, your progress against your training plan and your English language ability as well as ethical and data storage requirements. More information on the <u>Transfer page of the For Students website</u>.

#### Annual progress review (post-transfer)

After you have passed transfer, your progress will be monitored annually until you submit your thesis.

There are two models for annual progress review meetings:

Model A: a meeting with you conducted by your supervisors together with an independent member of staff who is not part of your supervisory team. This model is used by FBS, EPS and Medicine and Health.

Or

Model B: a meeting between you and your supervisors. The report of the meeting will be reviewed by a committee within your faculty or school. This model is used by AHC, Business, Environment, Social Sciences.

More information is available on the <u>Annual progress report - post transfer page of the For Students</u> website.

#### Monitoring attendance

GRAD is the main method we will use to monitoring attendance and progress. It is vital that you maintain GRAD regularly so that your records are up to date. This is one of your responsibilities as outlined in the University's Code of Practice for Research Degree Candidature.

Graduate Schools regularly monitor your GRAD records and will contact you and your supervisors if they are not up to date, escalating to the DPGRS when required. Prolonged and unexplained absence from your studies or failure to maintain your GRAD record may lead to the triggering of formal procedures (including the presumed withdrawn process) and ultimately withdrawal and reporting to UKVI (students on a 'Tier 4' or Student Visa).

For more information see the <u>PGR Attendance Monitoring Policy on the Student Education Service</u> (SES) website.

Any absence from study must be recorded on GRAD and authorised by your Graduate School. A period of temporary leave from your studies can be supported through a suspension of study, authorised absence or annual leave. You can apply for these through the change request menu in GRAD. Your Graduate School can also provide further advice on these processes. You can also find more information on the <u>Taking time out from your research page on the For Students website</u>.

# What happens if I don't make satisfactory progress?

If there are serious concerns with your academic progress, the Progress Support Process (PSP) may be instigated as a supportive measure, to help you to get back on track with your research. If you persistently neglect your work, fail to make progress or to achieve a satisfactory academic standard after the PSP has been implemented, you may be required to withdraw from the University. You can find out more information about this process on the <a href="Progress Support Process">Progress Support Process</a> (PSP) page of the For <a href="Students website">Students website</a>.

# Taking time out from your research

If you are unable to study or believe your progress is being significantly disrupted, then you must let your Graduate School know immediately.

You can access more detailed information about suspensions and extensions for PGRs via the Suspensions and Extensions policy which can be found on the <u>SES (Student Education Service)</u> website Regulations, codes, policies and procedures for postgraduate research.

We understand that you may encounter events or circumstances that disrupt your academic progress (for example, ill-health or personal difficulties). In many cases it will be possible to recover any time that is lost; however, for significant difficulties this may not be possible.

If you encounter a disruption, the University will help you to consider your options. These include a period of suspension or extension of study, which will add more time to your research degree and delay the deadline for thesis submission, or authorised absence which is a break from studies but will not affect your deadlines.

Please <u>contact your Graduate School</u> as soon as possible and they can offer advice and support. If you are able, it is a good idea to also let your supervisors know of any issues; alternatively the Graduate School can contact your supervisors on your behalf with your permission. It is important to keep an up-to-date record of any challenges or concerns in GRAD in case you need any additional support later on.

If you need support or advice, there are teams across the University who will be happy to talk to you:

- Support and Wellbeing
- Student Counselling and Wellbeing

- LUU (Leeds University Union) Advice
- Disability services
- Information for international students
- Help and support including at the University, and external support services

The <u>Student cases page on the Secretariat website</u> also has useful information on policy and processes.

# Authorised absences

This is a short break from your studies (normally up to four weeks duration in a 12 month period, in addition to any period of annual leave) which can be authorised by your supervisor. You and your supervisor must agree that the absence won't affect your ability to submit your thesis on time. Typical reasons for an authorised absence include short periods of illness (supported by medical evidence) and personal difficulties.

#### Returning after an authorised absence

Once the authorised absence finishes, you should come back to your work as normal, and should be able to resume your research effectively. If you're not ready to return, contact your supervisor. Longer periods of absence may be dealt with as a suspension of study. If you do not keep in contact for a prolonged period, the University may assume you have withdrawn from your studies. It is therefore crucial that you discuss your return following absence with your supervisor.

# Suspension of study

During a suspension of study you temporarily leave your programme for a minimum of one month. During a suspension you are not expected to progress your research or to pay academic fees. You won't have access to supervision, the library or other University facilities (such as laboratories), but you will keep access to IT facilities, including email. Your deadlines will be lengthened to account for the suspension period. Typical reasons for suspension of study are illness, maternity leave, or personal difficulties. If you feel you may need a suspension of study, let your Graduate School know immediately. When you can return to study will differ from person to person depending on the circumstances; it may be when you are medically fit, have overcome any personal difficulties or are simply due back after planned leave, eg parental leave.

# Extension of study

An extension of study adds more time to your programme, to take account of an unexpected or unavoidable disruption which has significantly slowed down your progress, and for which you are unable to make up the lost time. You will continue to work on your research and make progress, and your supervisor will work with you to agree a timetable for completion. If you request an extension during your overtime period, you will need to explain how much of your thesis is complete and submit a plan for completion of your thesis, agreed by your supervisor.

# Length of suspensions or extensions

The length of suspension or extension that you request should be reasonable and relevant to the problem or difficulties you are experiencing. Requests for suspensions of study will not be granted indefinitely. The minimum period of suspension is one calendar month, and requests are normally granted for no longer than 12 months in the first instance. The University will not normally approve periods of extension and suspension which add up to more than two calendar years for a full-time PGR or three calendar years for a part-time PGR (suspensions for maternity or adoption leave are excluded). External funders, sponsors or professional bodies may also set limits on the length of suspension or extension that you can take. For more information, please see the policy linked at the top of this page and contact your Graduate School.

# Requesting suspensions and extensions of study

You can request a suspension or extension of study by completing the suspension/extension of study workflow in GRAD: this can be accessed in GRAD via the change request menu. GRAD is the preferred method but if the request is sensitive or confidential and you don't want the details in the system, please contact your Graduate School first.

You can also get advice from your Graduate School, Director of Postgraduate Research Studies or supervisor. Make sure you speak to staff as soon as you can - suspensions won't normally be backdated by more than one month.

If you are on a Tier 4 or Student visa, it is your responsibility to inform the university of any periods of absence or change of location.

# Registration after suspension or extension

You will need to re-register within four weeks of returning from a suspension; this means that you may need to register twice in the same academic year. If your maximum period of study is extended, you will need to register for any extension longer than one month. There is no fee for the extension. If you do not keep in contact with your Graduate School and supervisor, you may lose the time available to complete your thesis or be presumed to have withdrawn from the University.

#### Support and advice for pregnant PGRs

If you become pregnant, we would encourage you to discuss what support you may need for your research at an early stage of the pregnancy. You can approach any member of staff who you feel comfortable with, and we also recommend reading the <u>University's policy on support for pregnant students and students with very young children</u>.

Periods of parental or adoption leave are normally managed under the suspension of studies process (and/or authorised absence). Periods of suspension for parental or adoption leave are not included towards the normal overall limit on suspensions/extensions over a candidature. Suspensions of studies are recorded in whole months, however you can start, or return from, a period of leave at any point in the month, by recording some of the period of leave as an authorised absence in addition to any period of suspension. You can also choose to take annual leave immediately before or after a period of suspension or authorised absence.

If you are on a Tier 4 or Student Visa, please also contact the <u>Student visa advice team</u> for immigration advice

If you are in receipt of funding, please contact your Graduate School who can discuss possible parental leave pay arrangements with you.

# PGRs who are parents or carers

We believe that being, or becoming, responsible for a child or dependent adult should not be a barrier to your research. The University is committed to being as flexible as possible, whilst, at the same time, making sure that any accommodations made for you will not compromise academic standards. Further information can be found in the <u>Equality and inclusion policies and guidance page</u> of the <u>Equality and inclusion unit</u>.

# Sponsors and funding

You are responsible for checking any implications of a suspension or extension of study on your funding with the scholarship provider or the awarding body. If your submission deadline is extended and you are in receipt of funding, please be aware that your funding may not also be extended. You should discuss funding arrangements with your Graduate School if you are granted an extension or suspension.

# Tier 4/Student Visa postgraduate researchers

Authorised absences do not affect your visa provided they do not change the overall time limit for completion of your programme of study.

If you are granted a suspension or extension, you should check your <u>visa requirements</u> and seek support from the Student Visa Advice team.

# Progress Support Process (PSP)

If you are not progressing or engaging as expected, it is essential that problems are identified, and support put in place as quickly as possible.

As part of the normal supervisory process, your supervisors will provide feedback on your work and discuss any concerns they have about your academic progress. Appropriate academic support, milestones and clear expectations should be agreed as part of the supervisory meetings.

Any issues that impact your progress or engagement should be addressed at the time they arise, so that you can be supported as needed. Delays can turn relatively simple challenges into much more complex ones and can prevent you from getting crucial and timely advice and help.

Graduate Schools and other specialist support and wellbeing services around the University can help support you through a range of situations. Information about these services can be found on the <a href="Specialist support services">Specialist support services page of the SES website</a>. If you encounter unforeseen difficulties during your study you may wish to apply for a period of authorised absence, suspension or extension of study. The <a href="Taking time out from your research page on the For Students website">Taking time out from your research page on the For Students website</a> has more information and guidance on these options. The Director of Postgraduate Research Studies and Graduate School can advise on what action may be needed and co-ordinate with other services to ensure the correct support is put in place (including support from Disability Services and Student Counselling and Wellbeing).

If concerns with your academic progress continue, the <u>Progress Support Process (PSP)</u> may be instigated as a supportive measure, to help you to get back on track with your research. The PSP provides a framework to ensure that you are informed of any risks to academic progress at an early stage and with an emphasis on early targeted support to get back on track with your research and successfully complete. The PSP allows for discussion of all necessary factors, such as:

academic progress

- University regulations
- health considerations
- personal/family considerations
- immigration advice
- compliance with Home Office regulations
- financial considerations (including effects on any sponsorship).

The PSP supersedes the former Unsatisfactory Academic Performance Procedure (UAPP) from August 2022.

The PSP has four stages. If progress is deemed to be satisfactory again at the end of any stage, the process will conclude; it is not compulsory to go through all four stages. Please note this this is a summary of the process, for full details please refer to the <u>PSP policy</u>.

# Stage 1: PSP support meeting

This is normally a meeting with yourself, the DPGRS, your supervisor, and may also include a member of the Graduate School. The meeting will aim to:

- Discuss concerns
- Identify any mitigating circumstances
- Identify any support needed

After the meeting, there are several possible outcomes:

- If progress returns to normal, the PSP will conclude
- A period of suspension, extension or authorised absence may be recommended
- Other support or adjustments may be recommended; either as the conclusion of PSP or linked to a further period of support (stage 2)
- A period of Additional Academic and/or Pastoral Support is recommended (stage 2)

# Stage 2: Period of additional academic/pastoral support

This is a short period of targeted support and closer monitoring, intended to get you back on track. A support plan will be agreed, with clear expectations and action points. At the end of the period there are several possible outcomes:

- Progress is satisfactory and the process is concluded
- A further period of support is recommended
- Stage three of the PSP is recommended

• A period of suspension, extension or authorised absence is recommended

#### Stage 3: PSP review and final meetings

The first part of stage 3 is the Review meeting which aims to identify ways to address the concerns and to support your future progress. The meeting will review progress against the support action plan and your supervisor and DPGRS will discuss with you any continued academic engagement or progress concerns. You will be given an opportunity to raise any mitigating circumstances. A new action plan for progress will be agreed, along with a date for review.

The second part of stage 3 is the final meeting. This is an academic review of progress against the action plan and milestones, with a decision taken about continuation of the programme, recommendation to withdraw or transfer to a lower degree. If progress is being made but issues are not fully resolved, the decision may be deferred, however this can only happen once.

#### Stage 4: University review stage and appeal

If withdrawal or transfer to MPhil has been recommended, this will be reviewed by the Graduate Board's Progression and Examination Group. The Group (or the Chair) will wish to see evidence that the PSP has been followed and that the academic decision has been clearly justified.

PGRs have the right of appeal following an adverse academic decision under the arrangements set out in the <u>Appeals Procedure on the Secretariat website</u>. The appeal must reach the University within 20 working days of the PGR receiving formal confirmation of the Progression and Examination Group decision. PGRs considering submitting an appeal are strongly encouraged to seek independent advice and support from the <u>LUU Student Advice Centre</u>.

# Leaving your research degree early

While we hope everything goes well with your studies, sometimes things don't quite go to plan.

If you're thinking about permanently leaving university before completing your studies, support is available to help you make a decision that's right for you.

If you are considering leaving the University either temporarily or permanently before completing your studies, please discuss this with your supervisor, Director of Postgraduate Research Studies (DPGRS) or Graduate School.

Withdrawing from the University may affect your maintenance grant, scholarship or doctoral loan payment so please contact your sponsor if you are thinking about leaving. If you have a University of Leeds scholarship or loan, please see the Fees and funding section below for more information.

If you are an international student, leaving your studies will have implications for your visa. See the Your visa section below for more information.

Please ensure that you monitor your university emails during your enquiry.

# Leaving temporarily

If you wish to leave the University temporarily, you can request an authorised absence or a suspension through GRAD. The <u>Taking time out from your research page on the For Students website</u> has more information and guidance on these options.

# Asking for support

If you're thinking about permanently leaving the University, talk to your supervisors, Graduate School or DPGRS. They'll tell you how your school can support you and suggest any different options

you might not have considered, so you can make an informed decision and understand the processes involved.

You can read more about other assistance available to you on the <u>Help and Support pages</u> or the Postgraduate support and wellbeing page on the For Students website.

#### Your visa

If you have a Student or Tier 4 Visa and permanently withdraw from your studies, you will be required to leave the UK. The University is required to report all permanent withdrawals to UK Visas and Immigration (UKVI). You should seek immigration advice from the Student Visa Advice team on <a href="mailto:StudentVisaAdvice@leeds.ac.uk">StudentVisaAdvice@leeds.ac.uk</a>

If you are an international student with a Tier 4 visa and are considering transferring to another university, you will need to check that they can assign you a new Confirmation of Acceptance for Studies (CAS). You may need to leave the UK to make another visa application.

# Fees and funding

If you leave before the end of your course, your fees are calculated based on your leaving date and not your last date of attendance (unless these dates are the same). Please refer to the section "Process – how to become a permanent leaver" for more details on leaving dates. Once the dates are recalculated, if the university owes you money for fees paid the Fees team will arrange to refund the account used for the original payment. They will contact you on your personal email address, so please check with your Graduate School that we have the correct address.

Find out more about fee payments when leaving the University early.

There are some situations where you may lose some, or all, of your student financial support:

- If you receive funding, such as a Doctoral Loan, overseas loan eg American Federal Loan, military funded schemes etc, you should contact <a href="mailto:funding@leeds.ac.uk">funding@leeds.ac.uk</a>
- If you hold a scholarship that is administered by the central University Research Degree Scholarships Office, you should contact pg\_scholarships@leeds.ac.uk
- If you hold a scholarship that is administered by your school or faculty, you should <u>contact</u> your Graduate School.
- If you are a sponsored PGR, you should contact your sponsor to find out how your funding might be affected.

For further information and independent support, you can contact <u>Leeds University Union Advice</u>.

# The process of permanently leaving the University

You should check your university emails throughout this process. You will not be classed as a leaver until the process has been completed and we may need to contact you at various points.

Contact your Graduate School to discuss your plans. They will offer to meet with you to talk through your available options.

They may suggest you get advice from the following people: university/LUU support services, the Fees and Funding teams, the Student Visa Advice team, the Accommodation team. You should also speak to your supervisor.

(If you are considering an authorised absence or suspension from your studies, your Graduate School will advise you on this process instead of permanent leave.)

Following your meeting with your Graduate School you will be given the option of more time to consider your decision. If you have any questions, you can discuss these with your Graduate School Officer.

If you decide to leave permanently, you should complete the leavers form and return it to the Graduate School office promptly from your university email address, confirming in the email that you wish to permanently leave the university and your leaving date. The leaving date should be the date that you wish to leave the University; this should be within 10 working days of submitting your form to your Graduate School. This will be used by Doctoral College Operations as an indicative date only.

Your Graduate School will check this form and will forward it to Doctoral College Operations, who will update your record and make you a permanent leaver. Your University IT account will close immediately when your record is updated and you will no longer have access to your Leeds University email address. You should hand over any data/IP to your supervisor. You may need to meet any school-specific requirements, for example, returning any keys etc to your parent school. Your Graduate School will let you know if this is something you need to do.

#### Leaving in the first 14 days: cancelling your contract

After you have received your academic offer and up to 14 days after your start date (your start date is noted on your offer letter), you may cancel your agreement with the University and withdraw from your study, without reason. For more information and to cancel your contract, please see the <a href="Student Contract">Student Contract</a>.

# Accommodation

If you're in University accommodation, check the notice period on the <u>Accommodation</u> webpage to see when you will need to continue paying accommodation fees.

If you're in private-sector accommodation check the terms of your contract with your accommodation provider. <u>Leeds University Union Advice</u> offer advice on your rights and dealing with your landlord in this situation.

#### Council Tax

It is your responsibility to inform the council if your circumstances change and you're no longer a full-time student. There could be legal implications if you fail to notify the council of any changes. More information is available on the <u>Council Tax exemption webpage of the For Students website</u>.

# How long does a research degree take?

As a postgraduate researcher, you will be expected to be aware of your thesis submission deadline and to manage your time accordingly.

# Managing your time?

You are expected to work on your research project for an average of at least 37.5 hours per week, the same as a full-time academic post. This does not include paid work. If you are part-time, the usual expected workload is at least 20 hours work per week on your project. Your workload will inevitably vary throughout the year and should be agreed with your supervisor. Additional hours may be necessary when you're approaching a specific deadline, such as the submission of a transfer report or thesis.

#### Paid work whilst you are studying

If you are studying full-time, you can undertake paid work outside of your studies, but there are limits that you should be aware of.

During the standard period of study (ie before your overtime period) any paid work you do must not exceed 250 hours per academic session.

Once you have completed the standard period of study this limit does not apply. However, if you still receive a stipend from the University and start a full-time paid job, or are likely to exceed 250 hours work, you must let your Graduate School know.

Most scholarship payments will cease if you move into full-time employment or exceed the 250 hours per year limit. Any over-payment will need to be recovered, so please make sure you discuss any employment with your Graduate School.

If you are in the UK on a student visa, this limit still applies, even though your visa may permit a higher weekly limit. If you are in the UK on a student visa, at no point must you exceed the number

of hours stated on your biometric ID card. For further information please contact the Student Visa Advice service.

We also recommend that you are familiar with the Code of Practice for Postgraduate Research Students Engaged in Teaching.

# How long does a research degree take?

This table shows you the standard and maximum time periods to complete your research degree. The maximum period of study is the time limit you have for submitting your thesis.

| Degree   | Mode of study | Standard period of study | Maximum period of study |
|--|---------------|--------------------------|-------------------------|
| DClinDent  DClinPsychol  DPaedDent  EdD  PhD * | Full time     | 3 years                  | 4 years                 |
| DClinDent DPaedDent EdD PhD                    | Part time     | 5 years                  | 7 years                 |
| Integrated PhD                                 | Full time     | 4 years                  | 5 years                 |
| MD<br>MPhil                                    | Full time     | 2 years                  | 3 years                 |
| MD   | Part time     | 3 years                  | 5 years                 |
| MPhil  | Part time     | 4 years                  | 6 years                 |
| Masters by Research                            | Full time     | 1 year                   | 1 year                  |
| Masters by Research                            | Part time     | 2 years                  | 2 years                 |
| Split-site (Model A) Split-site (Model B)      | N/A           | 3 years                  | 4 years                 |
| Split-site (Model C)                           | N/A           | 5 years                  | 7 years                 |

\*In some specified cases, the standard period of study may be 3-4 years. For dual awards, please check your offer letter.

# Submitting your thesis on time

PGRs are expected to submit their theses within the maximum time limit. You should keep in regular contact with your supervisor and let them know:

- if your project seems to be developing serious academic deficiencies;
- if you are concerned about finishing your project in the required time;
- if you feel you aren't getting the technical or resources support that you need.
- Any personal/health difficulties which may have affected your progress.

If you ever feel your supervision is inadequate it is important to discuss your concerns with your Director of Postgraduate Research Studies, your Graduate School or LUU.

#### Overtime

Overtime is the period between the end of your standard period of study and the final time limit for submission. It is also referred to as "writing up," as the expectation is that you are solely working on the thesis in this period and are not conducting any research.

The overtime period allows all PGRs (except Masters by Research and miscellaneous study) to register on a reduced fee after the standard period of study but within the maximum period of study. The fee cost is updated annually and is non-refundable.

If you submit before the end of the standard period of study, or up to one month after the start of the overtime period, then you will not be required to register during the overtime period.

# Submitting your thesis early

In some cases, you may be able to submit your thesis early, before the end of your standard period of study. Your Director of Postgraduate Research Studies must submit a recommendation to the Graduate Board's Progression and Examinations Group for a 'reduction' to your standard period of study.

In practice, the approach operated is to allow a grace period and to accept thesis submissions which are made up to six weeks before the end of the standard period of study, without the need for a reduction of study to be requested. Requests for reductions of study are required in cases where the thesis submission will be more than six weeks early.

If you think you will be able to submit your thesis early (more than six weeks before the end of your standard period of study) you must first discuss this with your supervisors. Early submission of the thesis can only be made where it is supported by your supervisors and your Director of Postgraduate Research Studies.

A Director of Postgraduate Research Studies can only recommend early submission if:

a) the thesis is complete and ready for submission; and,

b) has been read by your supervisor in its entirety. Your supervisor must confirm in writing that they have read your thesis and they believe it is ready to be examined.

You must, however, take responsibility for the work that you submit. The Graduate Board is clear that even if the supervisor has agreed to early submission of the thesis, this does not mean that the award of a degree is guaranteed.

There are limits to the length of the reduction that can be approved. With an approved reduction the earliest dates you can submit your thesis are:

| Programme                                | Earliest submission date   |  |
|--|--|--|
| PhD, EdD, DPaedDent (full time)          | At the end of two calendar years of full-time study  |  |
| PhD, EdD, DPaedDent, DClinEd (part time) | At the end of three calendar years of part-time study  |  |
| PhD split-site                           | At the end of three calendar years of study under split-site arrangements  |  |
| Integrated PhD                           | At the end of three calendar years of full-time study  |  |
| DClinPsychol                             | PGRs may submit their thesis in the May of year three of their studies (if they are in a position to do so) without the need for a formal request to be made |  |
| MD, MPhil (full time)                    | At the end of one calendar year of full-time study   |  |
| MD, MPhil (part time)                    | At the end of two calendar years of part-time study  |  |

No reduction of the period of study is permitted for the Masters by Research degree under the University's regulations. Masters by Research PGRs may still submit their thesis six weeks before the end of the standard period of study, using the grace period noted above

If you are receiving a stipend as part of a scholarship, payment will stop once you have submitted, even if it is before the end of the scholarship duration. You will also be liable to pay council tax once you have submitted. PGRs on a student visa are advised to contact the Student Visa Advice Team for immigration advice on the implications of early submission to their visa status.

#### Fee refund

If you are funding your studies yourself, you may be eligible for a partial refund of fees if you have an approved reduction to the standard period of study and you finish early, subject to completion of a successful examination. (This is not applicable to Masters by Research students as a reduction to the standard period of study is not permitted under University regulations).

No refund will be made unless all academic fees have been paid in full for the year/session in which you are submitting, you are successful in your examination and have appeared on a pass list.

Please contact the Student Fees team for further advice on the fee refund arrangements.

The fee refund applies only to those submitting within the standard period of study. The Overtime period fee is a special concession that allows you to register for a reduced rate and is non-refundable, irrespective of the month of submission.

Information about the duration of studies (including standard and maximum time periods, as well as information on submitting your thesis early) can be found in your official University offer letter.

# Examination process overview

When you're approaching the end of your research degree, there are a few steps you'll need to take to initiate the submission and examination process.

Find out the process and timescales for the submission and examination of your thesis so you know what to expect and how long it's likely to take. Please see the Guide to the thesis submission process on the Policies and Procedures page of the SES website for more detailed information.

For information about what will change, such as your stipend payments and council tax status after you submit your thesis, make sure to read the <u>Between submission and the viva page of the For Students website</u>.

# During the final year of the standard period of study

- A range of support is available for your final year of the standard period of study via online training courses and modules. You can find more information on the <u>Training and research</u> skills page of the For Students Website.
- You should familiarise yourself with the expected and maximum time limits for the submission of your thesis on the <u>How long does a research degree take? page of the For</u> <u>Students website</u>. You can check your GRAD record for all milestones dates and contact your Graduate School if you have any questions.
- You should also begin thinking about the process for examination entry. You can find out
  more about the examination entry process on the <u>Examination entry page of the For</u>
  <u>Students website</u>.

# At least three months before submitting your thesis

• You should complete and submit the GRAD examination entry workflow. Read more about this process on the Examination entry page of the For Students website.

- Your supervisor will approach potential examiners.
- Once the potential examiners have informally agreed, your supervisor will complete their part of the examination entry workflow with the nominations.
- The workflow is checked by your Graduate School and Director of Postgraduate Research Studies (DPGRS). It is then sent to Doctoral College Operations (DCO) to check the eligibility of the proposed examiners before the Progression and Examinations Group (PEG) reviews and approves the recommendation.

#### Proof-reading your thesis

- You are permitted to have your thesis proof-read by a third party for submission before examination. You can find out more about proof-reading in the Postgraduate Research Proof Reading Policy and Guidance on the Policies and Procedures page of the SES website.
- Responsibility for all aspects of the thesis submitted for assessment remains with you as the author of the thesis.

# Submitting your thesis

- Theses should be submitted via GRAD as a PDF; hard-bound copies are not required.
- You may upload your thesis to GRAD at any time in the day up to 23:59 on or before your
  thesis submission deadline, but please bear in mind that it is likely that Graduate School and
  Doctoral College Operations staff will only be available to support and assist you during
  normal office hours.
- Where the maximum time limit for submission falls on a day when the University is closed because of either a holiday period (for instance a Bank Holiday) or a weekend, the deadline for upload of the thesis to GRAD will be no later than 23:59 the next working day.
- Further information is available on the <u>Submitting your thesis page of the For Students</u> website.

#### Your oral examination (viva)

- This will normally take place within three months of your examiners receiving your thesis.
- You can attend the Your Viva workshop run throughout the year by OD&PL. You can find more information about this workshop and other support available on the <u>Training and</u> research skills page of the For Students website.
- If you wish, your supervisor can attend your viva.
- The examiners informally notify you of their recommendation (usually immediately after the viva but within 24 hours).
- Further information is available on The Viva page of the For Students website.

#### After your oral examination (viva)

- The examiners prepare an examiners' report on the viva which is passed to the DPGRS before forwarding to DCO.
- The examiners' report is considered at the next Progression and Examinations Group meeting. The examiners' recommendations are always subject to final approval by that Group.

- If you've been recommended for the award of the degree with no corrections, you can prepare and deposit your eThesis.
- If you've received a referral, you'll receive individual notes for guidance, outlining the required changes to help you prepare to resubmit. These notes must be submitted with the examiners' report for approval by the Progression and Examinations Group. The notes will be issued to you by DCO after approval. Further information about referral is available on the Referral and resubmission for research degrees page of the For Students website.
- Further information about what to do after your viva is available on <a href="The outcome of your viva page of the For Students website">The outcome of your viva page of the For Students website</a> and After your viva page of the For Students website.

# Depositing your final thesis

Once the internal examiner has approved any corrections, you can arrange for your eThesis
to be uploaded to White Rose eThesis Online (WREO). You can find out more about WREO
on the <u>Submit your eThesis page of the University library website</u>.

# Publication of pass list

Pass lists are published weekly, usually on a Friday. Your name will be published on a pass list after:

- The examiners' report has been approved at a Graduate Board's Progression and Examinations Group meeting.
- DCO has received confirmation that the internal examiner is satisfied that you've completed any required corrections.
- You have submitted your eThesis.
- You've paid all your tuition fees.
- Marks have been returned for any taught modules that form part of your programme of study.
- After your name has been included on a pass list, your examiners report will normally be uploaded to GRAD within 10 working days.
- You will be notified of award of your degree by email and in GRAD.

#### Graduation

Further information on the award of your degree and graduation can be found on the <u>Award of your research degree and graduation page of the For Students website</u>.

# Examination entry

At least three months before you plan to submit your thesis for examination, you must enter for examination by completing the examination entry workflow in GRAD (sometimes called the 'examination entry form').

Please see the Guide to the thesis submission process on the <u>Policies and Procedures page of the SES</u> <u>website</u> for more information on the processes explained on this webpage.

Postgraduate researchers registered at one of the Accredited Institutions (Leeds Trinity University or York St John University) have separate arrangements and must complete a paper-based examination entry form. If this applies to you, then please contact your Institution directly.

### The examination entry process

You'll need to complete the examination entry form workflow in <u>GRAD</u> at least three months before you plan to submit your thesis. To access the examination entry form:

- From the main GRAD front page select 'My project'
- On the right-hand side of your project page select 'Thesis examination' and confirm you want to start the record. This opens two workflows:
- (a) Examination Entry:
  - You will need to confirm your thesis title, whether you have been a member of staff and provide details of ethical review and any publications.
  - You can then submit the workflow to your supervisor so they can nominate the examiners.
  - You can track the progress via the status box in the workflow.
- (b) Thesis Submission:
  - This workflow will remain open until you are ready to submit your thesis for examination.

# The examination entry workflow in GRAD

The workflow covers all research degrees including practice-led programmes. You can track the progress and status of your examination entry workflow at all times in GRAD (see the Status section on the right-hand side of the thesis examination workflow in GRAD). You will receive an email notification through GRAD once the workflow and examiner details have been approved.

At least one internal examiner and one external examiner will be appointed. In some cases, the appointment of an independent chair may also be required. Postgraduate researchers may be asked by supervisors for their views on individuals who might act as examiners but the decision about the recommendations for appointment will be made in consultation between the supervisors and the Director of Postgraduate Research Studies. The final decision is made by the Progression and Examinations Group.

# Submitting your thesis

When you are preparing to submit your thesis for examination, take some time to familiarise yourself with the deadlines involved as well as the required format for submission.

Please see the Guide to the thesis submission process on the <u>Policies and Procedures page of the SES</u> <u>website</u> for more information on the processes explained on this webpage.

To complete the thesis submission, you need to upload a PDF copy of your thesis to GRAD. You are invited to upload a Covid-19 impact statement alongside your thesis which discusses any impacts of Covid-19 on your research plans, and the choices made to respond to this. Please use the template provided which is available on the Research degree assessment page of the For Students website. This should be uploaded to GRAD alongside your thesis submission.

## Thesis format regulations

When you are preparing to submit your thesis, please take time to read the Guide to the thesis examination process which includes information on:

- entering for examination and completing the relevant examination entry workflow in GRAD
- the content and layout of your thesis (including font size, margins, pagination, referencing styles, illustrative materials, abbreviations)
- the presentation of the title page and the statements which must be included in your thesis
- thesis length requirements, and what to do if you think you might exceed them
- including work from any jointly-authored publication in your thesis (please see the <u>Guidelines on the Use of Solely or Jointly-Authored Publications within a Thesis Submission</u> on the Policies and Procedures page of the SES website)
- advice on what to expect before, during and after the viva
- advice on eThesis preparation and presentation
- including supplementary material alongside your thesis submission (please see the Policy on Inclusion of supplementary material within a thesis submission for examination on the Policies and Procedures page of the SES website)

submission of a thesis in a language other than English (applies only to candidates registered
in the School of Languages, Cultures & Societies) (please see the policy on the <u>Policies and</u>
<u>Procedures page of the SES website.</u>)

### Alternative format thesis including published material

This model of submission is currently only available to postgraduate researchers (PGRs) registered in the in the Faculties of Environment, EPS (Engineering Schools only), Biological Sciences, Medicine and Health or Arts, Humanities and Cultures. PGRs in these Faculties who wish to consider this route to submission must first discuss this with their supervisory team. This model of thesis can only be submitted where the supervisory team supports this format of submission and is satisfied it is appropriate and meets the protocol in place for that Faculty. Please see the Faculty Protocols for the format and presentation of an alternative style of doctoral thesis including published material on the Policies and Procedures page of the SES website.

### **Dual Award PhDs**

If you are registered on a Dual Award PhD, there may be particular expectations for your thesis and/or viva, depending on your programme of study. Please consult our Dual Award Guidance below, which includes further information for each of the Dual Awards and which sets out any additional examination information you may need to be aware of.

Dual Award Guidance - General Guidance for all awards

<u>University of Leeds – University of Copenhagen</u> <u>University of Leeds – University of Coimbra</u> <u>University of Leeds – University of Ljubljana</u> University of Leeds – Lulea Technical University

#### Guidance to follow:

University of Leeds – Nanjing University
University of Leeds – Beijing Jiaotong University
University of Leeds – Petroleum University of China (QingDao Campus) (UPC)
University of Leeds – Southwest Jiaotong University (SWJTU)

### Deadline for submission

You should aim to submit your thesis within the standard period of study for the research degree programme upon which you are registered. However, you must submit your thesis for examination by the maximum time limit for your programme. Your standard period of study and maximum time limit dates are visible on your GRAD record. If difficulties arise you must talk to your Graduate School as soon as possible for advice. See the <a href="Examination process overview page of the For Students">Examination process overview page of the For Students</a> <a href="Website">Website</a> for more information on the submission timings on your deadline date.

## Submitting your thesis early

In some cases you may be able to submit your thesis early, before the end of your standard period of study. Your Director of Postgraduate Research Studies (DPGRS) must submit a recommendation to the Graduate Board's Programmes and Examinations Group for a reduction to your period of study. Early submission of the thesis can only be made where it is supported by your supervisor and your DPGRS if:

- (a) the thesis is complete and ready for submission and
- (b) it has been read by your supervisor in its entirety

Your supervisor must confirm in writing that they have read your thesis and that their view is that it is ready to be examined. There are limits to the length of the reduction that can be approved. Please see further information about the limits and the process to be followed on the <a href="How long does a">How long does a</a> research degree take? page of the For Students website.

### Uploading a PDF to GRAD

You must upload a PDF copy of your thesis to GRAD using the GRAD Thesis Submission workflow. Please see the Guide to the thesis examination process for further advice. Please contact your Graduate School in advance of your thesis submission if there are any concerns with this, for example, if there are confidentiality or commercially sensitive issues with your research.

# Practice-led research degrees

Please make sure you are fully aware of the submission requirements for your degree. You can find more information by checking the practice-led policy for your faculty/school on the <a href="Research">Research</a>
<a href="Degrees Codes">Degrees Codes</a> of <a href="Practice">Practice</a> page on the <a href="SES website">SES website</a>.

#### After submission

Your thesis will be sent out to your examiners normally within 10 working days of submission, providing that it meets the University's requirements and your examination entry workflow has been approved by the Examinations Group. A GRAD email notification (to your University account) will be sent to confirm that your thesis has been sent out to your examiners, and will include advice about the next stages in the process. If Doctoral College Operations has only recently received your examination entry workflow (or your workflow has not yet been completed), if there are any issues with the length or formatting of the thesis, or if your thesis has been submitted after the maximum time limit there may be a delay in sending your thesis out for examination.

# Between submission and the viva

There are a number of things to consider once you have submitted your thesis and are waiting for your viva to take place.

# Submitting your thesis

Please see more information on the <u>Submitting your thesis page of the For Students website</u>.

### Under examination status

PGRs who have submitted their thesis are classed as registered whilst under examination (UE) for up to six months whilst awaiting oral examination (the viva). There is no tuition fee charge for this period. You can make full use of facilities whilst preparing for your viva and for a short period after examination when you may be required to make minor corrections to your thesis or may be preparing papers for publication. If there is a delay in your examination which means you will need access for longer than six months post-submission please contact Doctoral College Operations (Examinations) on <a href="mailto:rp">rp</a> examinations@adm.leeds.ac.uk. If you need a letter confirming your registration status at this time, for example, if it has been requested by your sponsor, please get in touch with <a href="mailto:rp">rp</a> student@adm.leeds.ac.uk. You can also <a href="mailto:contact your Graduate School">contact your Graduate School</a> during the UE period if you have any questions.

### Council Tax

During the UE period, PGRs are not classed as either full/part-time or overtime students and will not therefore qualify for Council Tax exemption. Please see the <u>Council tax exemption page of the For Students website</u> for more information. If you are struggling to pay your Council Tax bill, contact the <u>Student Advice team in Leeds University Union</u>. It's important that you don't ignore a Council Tax letter as there may be legal implications.

# Access to IT/facilities

Access to IT and other University services and facilities such as the libraries, labs, office space, etc. remains available during the UE period.

### Registration

Under UE status, PGRs do not need to re-register. Therefore, even if you pass the anniversary of your start date during your UE period, you won't need to complete the registration process again.

#### **Attendance**

During the UE period, it is still expected that PGRs will continue to have regular supervision meetings which need to be recorded in GRAD. This is in accordance with the Attendance and engagement monitoring policy for PGRs which you can find on the <u>Policies and Procedures page of the SES website</u>. If you are a PGR on a Tier 4/Student Visa, you must continue to engage with and record supervisions so that attendance monitoring can comply with the terms of your visa.

#### Student Visa

There is no requirement for PGRs to stay in Leeds once they have submitted their thesis for examination. Student Visa PGRs must complete a Change of Study Location request in GRAD at least one month before they leave Leeds. All PGRs must follow normal attendance monitoring processes (ten supervision meetings per year with gaps of no more than eight weeks between meetings) and records must be kept in GRAD. If it is agreed that your viva will take place in Leeds, you should return for this to take place. PGRs who need a visa to enter the UK may need to apply for a new visa or extend their current visa. Advice should be sought from the <u>Student Visa Advice team</u>. Guidance on returning to the UK after your course end date is available on the <u>At the end of your course page on the For Students website</u>

# Graduate Visa

If you have questions about applying for a Graduate Visa during the period between thesis submission and your viva, please contact Doctoral College Operations on <a href="mailto:rp\_student@adm.leeds.ac.uk">rp\_student@adm.leeds.ac.uk</a>

## Stipend payments

Some funding awards have regulations about returning overpayments or being able to continue to receive funding if you continue to work on research relevant to your programme even after submitting your thesis. You should be sure to check any conditions of your funding and if you have any questions, please get in touch with your Graduate School in the first instance.

### Financial support

If you need advice on financial support between submitting your thesis and your viva, please contact your Graduate School in the first instance. They will be able to direct you to the appropriate resources and information.

# Teaching/demonstrating

A PGR can continue with their teaching commitments at the University during the UE period. Any teaching engagement will automatically end when you are no longer registered as a PGR at the University (when your name appears on a pass list) or when the teaching activities have been completed. Please see the Code of Practice for Postgraduate Researchers engaged in teaching on the Policies and Procedures page of the SES website for more information.

# Preparation for your viva

### Your viva workshop

It can be an anxious time as you approach your final viva. Guidance on the viva, including video streamed vivas, is available in a <u>Viva Collection section in LinkedIn Learning</u>. You may be asked to log in, please use your usual University login.

For those with upcoming vivas you can experience a short mock viva when participating in a Your Viva workshop. Information about the workshop and how to book is available via ODPL's <a href="Postgraduate Research Professional Development opportunities web page">Postgraduate Research Professional Development opportunities web page</a>.

If you are unable to attend the workshop or you particularly want to experience answering viva questions in a video streamed environment, you can request a mock viva by emailing <a href="mailto:researcherdev@leeds.ac.uk">researcherdev@leeds.ac.uk</a>. Your email needs to indicate you would like a mock viva and why you are requesting it. Please note that you can ask your supervisor to do a mock viva with you; the support from OD&PL is offered in addition to any support from your supervisor.

### Disability support

If you think you will require reasonable adjustments for your viva, please contact your Graduate School and <u>Disability Services</u> as soon as possible. You should also take time to read the Reasonable Adjustments policy for PGRs on the <u>Policies and Procedures page of the SES website</u>.

### Your viva

You will receive notification of your viva arrangements via your University email account so please continue to check your emails during the UE period. For further information on the viva, please see The viva (oral examination) page of the For Students website.

# Multi-part thesis

A multi-part thesis is a thesis which includes a number of different electronic files and formats, beyond just a single PDF.

The concept of a multi-part thesis is applied currently to practice PhDs only. However, from 2023/24 a pilot for 'multi-part' thesis submissions will be running, which will explore and support applications for the submission of a multi-part thesis from any school.

### What is a Multi-part thesis?

A multi-part thesis is a thesis which includes a number of different electronic files and formats, beyond just a single PDF. A multi-part thesis presents opportunities for more flexible and creative presentation and examination of work, providing there is a robust academic rationale for submitting the content in this way. Examples could include (this list is not exhaustive):

- Content that is not easily accommodated in a single PDF due to its size, for example data or other material that would typically form part of your appendix
- Content that is better presented in its original source format for ease of use
- Computer code
- A video (rather than static images) of an experimental set-up or cell movement
- A visualisation of a weather front
- A visualisation of a 3-D model.
- A portfolio of videos, audio files or other file types illustrating creative practice

### Multi-part thesis pilot guidance for PGRs and Supervisors

Please read the <u>guidance document</u> (pdf) for more information on the multi-part thesis pilot. This summarises the arrangements for the pilot, and the process to be followed if you would like to make an application for the submission of a multi-part thesis.

### Multi-part thesis pilot forms to be completed

The arrangements and forms will differ whether you are registered for a practice-led research degree or not.

### Application for a Multi-Part thesis submission (non-practice led)

Who should complete this form: PGRs who are not registered for a practice-led research degree but who wish to apply for submission of a multi-part thesis as part of the pilot.

When to complete this form: Email the completed form to the <u>Doctoral College Operations</u>

<u>Progression and Examinations team</u> at least six months before the planned thesis submission date.

### Notification of a Multi-Part Practice-led thesis submission (AHC Practice-led)

If you are a practice-led researcher, you are also included in this pilot to help us better understand the range and nature of material/content being submitted, and to support you with the management, sharing and preservation of these materials. Please see the guidance for further details.

Who should complete this form: PGRs who are registered for a practice-led research degree and will be submitting a multi-part thesis for examination.

When to complete this form: Upload this form to GRAD with the examination entry workflow (at least three months before either the planned thesis submission date or before the first assessed live practice).

# **Multi-Part Thesis Index File**

Who should complete this form: PGRs who are registered for a practice-led research degree and will be submitting a multi-part thesis for examination; or any PGRs who have had an application approved for a multi-part thesis, as part of the multi-part thesis pilot.

When to complete this form: Upload this to GRAD alongside your thesis submission. A copy of this form must also be uploaded to White Rose Etheses Online alongside the final eThesis.

### Questions and further information

The Multi-part thesis guidance document includes further advice and information, as well as a glossary and FAQs.

If you wish to explore the submission of a multi-part thesis please consult the guidance document above, and discuss this with your supervisory team at an early point.

Advice on the thesis examination is available from the <u>Progression and examination team in Doctoral</u> <u>College Operations</u>.

The Library team will be happy to provide advice and support to help you in your planning. Please contact the Library as early as possible in advance of making your submission.

Information on research data management, including good practice when handling sensitive data, is available on the Research Data Leeds website. Advice and support on research data management

are available from the <u>Library's Research Data team</u>. Contact them well before you submit your thesis. Please also see the <u>Research ethics page of the For Students website</u>.

Information on thesis embargo arrangements can be found in the <u>Guide to the thesis examination</u> process.

# The viva

The viva (oral examination) is a vital part of the assessment process. Find out how it is arranged and what to expect during your viva.

Please see the Guide to the thesis submission process on the <u>Policies and Procedures page of the SES</u> <u>website</u> for more information on the processes explained on this webpage.

### The purpose of a viva

All postgraduate researchers (PGRs) must attend an oral examination (viva) to ensure that the thesis meets the University standards for the degree and that they have written and understood the work submitted.

### Arrangements for your viva

Your internal examiner will let you know the date and time of your viva, which should normally be within three months after your thesis has been sent to your examiners. If you have a tight schedule (for example a job offer subject to award of the degree or your visa is due to expire) please tell your supervisor and Faculty Graduate School as soon as possible. The viva may be in person, online (video streaming) or a hybrid.

If you are planning to hold your viva online (video streaming) you should refer to the Policy for the use of video streaming for the viva examination on the <u>Policies and Procedures page of the SES website</u>.

## Preparing for your viva

The following may be helpful but please consult your supervisory team for appropriate guidance in preparation for your oral examination.

You can attend the Your Viva workshop run throughout the year by OD&PL. You can find
more information about this workshop and other resources and support available on the
Training and research skills page of the For Students Website

- You should, at an early stage in your candidature, make yourself aware of the criteria for award of the research degree programme for which you are registered. This is the criteria that will be used by the examiners at the final examination stage. The requirements and learning outcomes for research degrees are provided on the <u>Ordinance and Regulations and</u> <u>Programmes of Study page of the SES website</u>.
- After the oral examination, the examiners must produce a joint report which outlines their recommendation. You might find it helpful to look at the examiners' report form which describes the criteria against which your examiners will be assessing your work. The form can be found on the Examiners' joint report after the viva page of the SES website.

### Use of University facilities while awaiting your viva

Your access to library and IT facilities is automatically extended without charge for a period of up to six months from the date of submission of your thesis. This is known as "registration whilst under examination". It enables you to make use of university facilities whilst preparing for the viva examination and also for a short period after examination when some candidates are required to make minor corrections to their thesis. The <a href="Between submission and the viva page of the For Students website">Between submission and the viva page of the For Students website</a> has more information about the 'under examination' period.

### What you can expect on the day

The University does not have specific regulations regarding the length of the oral examination or what should be discussed except that the thesis should be discussed with you. The viva gives you the opportunity to demonstrate your understanding of the subject and answer questions to support aspects of your thesis that the examiners may not be satisfied with. You can expect to see the internal examiner, the external examiner, the independent chair (if appointed) and your supervisor (as an observer only, and only if you wish them to be there).

Your viva must be conducted in English. (With the exception of research degrees in the modern languages, where, in certain circumstances approved by the Graduate Board, the viva might, with the agreement of the examiners, be conducted in a language other than English).

You should take a copy of your thesis to your examination. Your copy of the thesis may be annotated or referenced with notes, for example to mark editorial errors you have spotted when re-reading the thesis or help you find sections quickly. Recording of the viva by any party is not permitted.

### Result of the viva

The result of the examination should be given informally to you, normally immediately after the oral examination, or within 24 hours of completing the viva. Further advice is available about possible outcomes and what happens after your viva on the <a href="Outcome of the viva page of the For Students">Outcome of the viva page of the For Students</a> website.

# The outcome of the viva

The outcome of the oral examination should normally be given informally to you immediately and by no later than 24 hours after the viva.

Find out the possible recommendations examiners can make on your thesis and what to do in each case. Please see the Guide to the thesis submission process on the <u>Policies and Procedures page of</u> the SES website for more information on the processes explained on this webpage.

### Possible recommendations

After the viva, your examiners must produce a joint report which outlines their recommendation. The recommendation of the examiners is subject to final approval by the Graduate Board's <u>Progress and Examinations Group</u>, which must consider the examiners' report at its next meeting. The examiners will inform you of the recommendation they are sending forward on your thesis. The possible recommendations are:

Pass: Where your thesis satisfies the requirements for the award and no further corrections are required. You will need to submit your final eThesis to the University within one month of the viva date.

Pass (subject to the correction of 'editorial and presentational corrections'): Where your thesis satisfies the requirements for the award of the degree but is found to contain minor editorial and presentational errors (trivial errors, typographical errors, simple mistakes of fact or the insertion of headings or other 'signpost' material for the sake of clarity). Your corrections must be completed and returned to your internal examiner for approval within four weeks from the viva.

Pass (subject to the correction of minor deficiencies): Where your thesis satisfies the requirements for the award of the degree but is found to contain minor deficiencies (rewriting of sections, correcting calculations or clarifying arguments and the correction of minor typographical errors). Your corrections must be completed and returned to your internal examiner for approval within 12 weeks from the viva.

Referral: Where your thesis is potentially of a standard to merit the award of the degree, but it does not, at this stage, satisfy the requirements for award. You will be required to revise your thesis, which may entail further research, or any other activity required by the examiners, and resubmit this

to for re-examination. Please see the <u>Referral and resubmission page of the For Students website</u> for further guidance.

Fail: If the examiners recommend that your thesis be failed there is no further opportunity to revise and submit the work.

## MPhil award on PhD submission

For candidates submitting for PhD only, the examiners may recommend the award of MPhil (with or without minor corrections) in cases where the thesis fails to achieve the standard for the award of a PhD but does satisfy the criteria for the award of the degree of MPhil.

# **Appealing**

In some circumstances, you may wish to appeal against an adverse academic decision. You will receive details of the appeals process in an email sent to you notifying you of the outcome of your viva, so please read it carefully. You can also read further details of the procedure governing the consideration of postgraduate researcher appeals on the <u>Academic appeals page of the University of Leeds Secretariat website</u>. If you are considering an appeal against the outcome of the examination, you are strongly encouraged to seek independent advice and support from the <u>Leeds University Union Student Advice team</u>. You should also speak to your supervisory team and your <u>Graduate School</u>.

# After your viva

After your viva, you may be required to make any necessary corrections to your thesis and have these approved by your internal examiner before submitting the final version.

Please see the Guide to the thesis submission process on the <u>Policies and Procedures page of the SES</u> <u>website</u> for more information on the processes explained on this webpage.

### Referral and resubmission

Please see the Referral and resubmission for research degrees page of the For Students website.

# Correcting your thesis

Recommendations for award subject to editorial and presentational corrections or minor deficiencies cannot be confirmed unless the internal examiner is satisfied that the required corrections have been carried out to a satisfactory standard.

After successful examination you should begin to prepare the final version of your thesis by undertaking any corrections and returning these to your internal examiner within the following time period:

- four weeks from the date of the viva for editorial & presentational corrections
- 12 weeks from the date of the viva for minor deficiencies

Individual pages of the thesis must be fully corrected, and it is not possible to simply provide an errata page. You are advised to consult with your supervisory team throughout this process. The internal examiner does not take a supervisory role during the correction period. They may, however, provide clarification of the corrections required. If you are unclear about any of the minor deficiencies requiring correction you must seek clarification promptly, and at an early point in the correction period. You should consult with your supervisor in the first instance and, if appropriate, your supervisor may contact the internal examiner for further clarification.

If you experience any problems completing and returning the corrections to your internal examiner by the four or 12 week deadline, please <u>talk to your Graduate School</u> who can provide advice and support. If appropriate, you can apply for an extension to the corrections period via the Change Requests in <u>GRAD</u>.

### Approval of corrections

You should submit your corrections to your internal examiner for checking, along with information outlining what corrections you have made. This could be in the form of tracked changes or as a separate list. Please check with your internal examiner what format they would prefer.

Once the internal examiner has approved the corrections (possibly after consultation with the external examiner) they will confirm this, and your final thesis can be submitted to <a href="White Rose">White Rose</a> <a href="Etheses Online">Etheses Online</a>. You should do this as soon as you have heard from your internal examiner and should not wait to hear from Doctoral College Operations. For more information about submitting your thesis and eThesis, read the <a href="Submitting your final ethesis page of the For Students website">Submitting your final ethesis page of the For Students website</a>.

# Submission of your final ethesis

Please see the <u>Submitting your final ethesis page of the For Students website</u>.

## Appealing your award

Please see the The outcome of the viva page of the For Students website.

### Publication of Pass List

Please see the Award of your research degree and graduation page of the For Students website.

### Losing email access

Your IT account (including access to email, eduroam, library systems, Minerva, m:drive, Office365 and more) will stop working 90 days after the date your name appears on the pass list. You will receive an email with the exact date this will happen. You should prepare for this by letting people know about your change of email address and copying any important information to your personal device or storage facility. More information about the pass list can be found on the <a href="Examination process overview page of the For Students website">Examination process overview page of the For Students website</a>.

#### Checklist for finishing

The checklist below is intended to help you when you finish your research degree. Although not all points in the checklist may apply to you, please do work through this list with your supervisor to ensure that you have checked all the important points pertaining to you and your degree.

# Facilities and administration

- Clearing workspace/storage/returning equipment
- Return keys/fobs
- Expenses/costs
- Clearing lab space
- Returning materials/chemicals/COSHH and lab records signed off/deposited
- Check student card expiry date

· Apply for alumni library card

### Supervisor and research arrangements

- Final meeting with supervisory team
- Final presentation with research group / cohort

### Professional Development

- Careers Centre consultation (available to alumni too) / mock interview
- Discussion with supervisors about career opportunities
- Identify referees
- Professional training
- Post-study work visa application

#### **IT Services**

- Archive email / redirect
- Email address expiration/extension
- Backing up records (meetings/references) from M/N/S: drives
- GRAD archive
- Inform IT that desktop PC can be wiped/reinstalled
- Data/experiments log archived

### Personal & Social

- Leaving university accommodation/moving out
- Saying goodbye to colleagues (admin and academic) & fellow PGRs
- Celebration
- Exit interview & feedback/reflection (with DPGRS/supervisory team)
- Arrange to change title with bank, etc.
- Make sure your contact details are up to date with the Alumni team this may be especially
  important for receiving information about graduation. You can update your contact
  preferences on the 'my details' section of the <u>University of Leeds Alumni website</u>

### Graduation (July & December)

- Check the Graduation page of the For Students website for details
- Graduation dates usually published March/September
- Register for graduation (usually opens in May/October) or in absentia please get in touch
  with the <u>Student Information Service</u> if you don't receive communication about registering
  for graduation when you expect to.
- Buy tickets for graduation for friends and family
- Hire/buy gown for ceremony
- Arrange photographs
- Check school arrangements for graduation celebrations

# Referral and resubmission for research degrees

If the examiners recommend that your thesis is referred for resubmission, then you'll be required to revise the thesis, which may include further research, and then resubmit it for re-examination.

Please see the Guide for candidates referred for resubmission on the <u>Policies and procedures page</u> of the SES website for more information on the processes explained on this webpage.

## Referral

If the examiners recommend referral for resubmission, you will be required to revise the thesis, which may entail further research or any other activity required by the examiners, and then resubmit this to the University for re-examination. You may be required to attend a second viva.

# Notes for guidance

Alongside the report on the examination, the examiners are required to prepare 'Notes for Guidance' which outlines the changes which are required. The Notes for Guidance will set out the sections or aspects of the thesis which need improvement and information about what work is required to enable you to revise the thesis for resubmission to meet the standard required for award.

You will not receive your Notes for Guidance directly from your examiners after your viva. The Notes for Guidance must be submitted with the examiners' report to Doctoral College Operations (DCO) for scrutiny by the Graduate Board's Progression and Examinations Group. The examiners' report and Notes for Guidance will be issued to you by DCO after approval by the Group with information describing how to resubmit for re-examination. DCO aim to send you your approved report and Notes for Guidance within a period of six weeks from receipt of the report and Notes for Guidance. This will be uploaded to GRAD and a notification sent to your University email address. If for any reason it will not be possible to meet this timescale you will be kept informed.

# Supervision during the referral period

To keep the resubmission on course before the maximum time limit, it is expected that supervisory contact and support will continue during the referral period. Supervisors are asked to provide up to

six supervision meetings a year during the referral period. Whilst the precise nature, pattern and timing of supervisions will vary depending on the stage of the resubmission, and the extent and nature of the work required for resubmission, recommended best practice is that meetings will be held at regular intervals during the referral period (until resubmission) and include at least:

- An early meeting (or equivalent), normally within one month of receipt of the examiners' report and notes for guidance; and
- A meeting (or equivalent) around the mid-point of the referral period (eg around nine to 12 month point for doctoral PGRs) if the thesis has not been resubmitted.

Supervisions should continue to be recorded in GRAD and may take place in a variety of forms (for example in face-to-face meetings when the PGR is in the UK or by other means such as video conferencing where appropriate). For PGRs in the referral period the University appreciates that supervision may take the form of reading and commenting on draft chapters of the thesis prior to resubmission. PGRs must ensure that they provide their supervisor with re-drafts of work for comment within a reasonable timescale. PGRs should take the advice of their supervisor whether or not to resubmit their work for examination. PGRs are strongly recommended not to resubmit against their supervisor's advice. However, PGRs are solely responsible for the decision to resubmit their work for resubmission.

# **Examination Entry**

A minimum of three months before the thesis is resubmitted for examination, you must enter for reexamination by completing the examination entry process. Normally the same team of examiners will act for the resubmission, except in exceptional circumstances.

- You will have a 'task' in GRAD to complete the examination entry workflow again for your resultingsion.
- The workflow will be automatically populated with the information from your first submission eg your thesis title, ethical review and publication information. You can check this and amend (if necessary) for your resubmission
- When you submit the workflow, it will move to your supervisor so they can confirm the team of examiners for your resubmitted thesis.
- You can track the process of the workflow via the status box in GRAD

## Resubmission

The referral period begins from the date the Report and Notes for Guidance are issued following approval by the Progression and Examinations Group and the deadline will be included in the GRAD notification from DCO which is sent with your Report and Notes for Guidance. This is the maximum time limit for resubmission and you may resubmit at any point in the referral period, once the amendments have been made and your thesis is ready for resubmission. The thesis must be resubmitted for examination to GRAD. Your resubmission must not be sent directly to your examiners.

If circumstances arise which may prevent you from resubmitting your thesis by your resubmission deadline you must talk to your Graduate School as soon as possible for advice. Please see the <u>Taking time out from your research page of the For Students website</u> for advice on suspensions and extensions as well as the Policy on Suspensions and Extensions to the correction/referral period on the <u>Policies and Procedures page of the Student Education Service (SES) website</u>.

You must upload to GRAD, alongside your resubmitted thesis, a summary of how your revised thesis has responded to changes listed by your examiners in the Notes for Guidance. This will assist the examiners when considering your resubmitted thesis.

Once your resubmitted thesis is sent for examination, the appropriate re-examination fee will be charged. Student Finance (Fees) will contact you about this.

# Examination

The resubmitted thesis is subject to the same process as the first examination, with both the internal and external examiners required to examine the resubmitted thesis. The examiners may hold a second viva if they deem it appropriate. Your examiners will confirm whether or not a second viva is needed after they have considered your resubmitted thesis.

# **Appealing**

Please see the <u>Outcome of the viva page on the For Students website</u> for more information about appealing the outcome of your viva.

# Submitting your final ethesis

It is common practice both in the UK and internationally to deposit your thesis in an open access repository, making it available to a wide audience.

Please see the Guide to the thesis submission process on the <u>Policies and Procedures page of the SES</u> <u>website</u> for more information on the processes explained on this webpage.

All postgraduate researchers (PGRs) must submit their successful thesis for retention in the University Library in White Rose eTheses Online (WREO). The University of Leeds has withdrawn the requirement to submit a hard bound copy of your final, corrected thesis for the award of your degree. You only need to upload your thesis to WREO.

Following a successful examination, PGRs may have their final thesis proof-read by a third party as part of any corrections to the thesis after the viva. You can find out more about proof-reading in the Postgraduate Research Proof Reading Policy and Guidance on the <u>Policies and Procedures page of the SES website</u>.

You should only upload your thesis after your internal examiner has approved the correction of any editorial and presentational corrections or minor deficiencies identified at your examination. Please see the <u>University Library website</u> for further advice on the upload process. You will not be able to make any changes to the uploaded file, so you must ensure you are uploading the correct version that has been approved by your internal examiner.

Deadlines for submission of the eThesis are as follows:

- If the award of the degree was made without corrections, your eThesis must be submitted no later than one month after the oral examination.
- If you have made editorial and presentational corrections or minor deficiencies, the deadline is no later than one month after the corrections are approved.

Once you've uploaded your eThesis, a confirmation email will be sent to you, your supervisor(s) and Doctoral College Operations (DCO). Your eThesis will not be made live until after your degree has been awarded and your name has appeared on a pass list. However, if you've placed an embargo on access to your thesis, your eThesis will not go live until after the embargo has expired. Please see the 'Restricting access to your eThesis' section further down on this page for more information on embargo arrangements.

### eThesis format

One complete PDF eThesis file MUST be supplied as follows:

- This must be a single PDF file of the final, corrected thesis approved by your internal examiner for the award of your degree (including all appendices).
- On opening the file the first page accessed should be the title page of the thesis.

• The ethesis should be given a standard filename: Surname & initials, school, degree, year of submission, for example: Smith\_ABC\_Chemistry\_PhD\_2020.PDF.

Please note that the filename will be visible to anyone accessing your eThesis through WREO. For this reason it is important that you follow the filename format given here and do not include other information in the filename (for example your 9 digit student ID number).

To help ensure longer-term digital preservation of your thesis, it is strongly recommended that you also provide your thesis in its original source file format (e.g. Word, LaTex). The PDF file is the version of your thesis that will be made live in WREO and accessible by users, after any embargo period has expired.

If you are a practice-led researcher your eThesis will comprise both the written commentary and the related practice material. You must upload both the written commentary (as a single PDF file) and all the practice material in appropriate electronic files (for example pdf, jpeg, mp3) to White Rose eTheses Online. Please refer to the practice-led policy for your faculty/school on the <a href="Research Degrees Codes">Research Degrees Codes</a> of Practice page on the SES website.

# Restricting access to your eThesis

Wherever possible, theses should be made 'open access'. However, in some cases immediate access to a thesis may not be possible and you might need to add a temporary embargo. Examples include where the thesis includes confidential or politically sensitive information; where the thesis includes commercially sensitive information or where you are planning to publish part of your thesis. Access to the full text of your thesis can normally be restricted for one, two, three, or five years. In the case of a patent pending or in other exceptional circumstances, it may be possible to embargo your thesis for longer. If you think this might be necessary, you should consult with your supervisor. A case must be made by your Director of Postgraduate Research Studies to the Progression and Examinations Group.

Decisions on whether an embargo is required and how long is appropriate should be taken in consultation with your supervisor(s). Therefore, before you upload your eThesis you must discuss the retention of thesis arrangements with your supervisor(s).

Please see the Guide to the thesis examination process for more detailed information on thesis embargo arrangements.

# Copyright permissions & redacted eTheses

Please consult the <u>University Library website</u> for further advice on copyrighted material. You are expected to make all reasonable efforts to seek permission to include third party copyright material in the electronic version of your thesis. However, if you've not been able to obtain the permission of the copyright holder, you must prepare two versions of your eThesis:

- eThesis 1 A "complete eThesis" a single file including the final, corrected content of your thesis (as approved by your examiners).
- eThesis 2 A "redacted eThesis" file with any third-party copyright material redacted and replaced with a statement such as "This image has been removed by the author of this thesis

for copyright reasons". If possible, when removing material from the digital copy, a placeholder should be included to retain the pagination of the original document.

In all cases, one complete eThesis file must be supplied and held by the University but will not be made available online.

# Award of your research degree and graduation

Pass lists for research degrees are produced regularly throughout the year.

# Research degree award

Your research degree award can only be confirmed once all the following conditions have been met:

- Your examiners' report has been approved by the Progression and Examinations Group at one of its meetings.
- Doctoral College Operations (DCO) has received confirmation that the internal examiner is satisfied with any corrections.
- You have deposited the full text version of your eThesis in White Rose eThesis Online.
- All tuition fees to the University have been paid.
- If applicable, all assessed or taught modules have been completed successfully.

In accordance with the decision of the Graduate Board no exceptions will be permitted and the staff in DCO have no discretion in these matters.

After the date of the Progression and Examinations Group meeting you can track progress towards award in GRAD via the 'award checklist'. Once your name has appeared on a pass list you will receive an email to your university account confirming that the award of your degree has been made. Please allow 2 working days from the date of the meeting for this to happen. You can find out more about pass lists on the Examination process overview page of the For Students website.

### Graduation

Further information about the arrangements for graduation and certificates can be found on the Graduation page on the For Students website.

Graduation-related deadlines for postgraduate researchers (PGRs)

| Event  | Date  |
|--|---|
| Deadline for registering your graduation choice  | Please see the Graduation page on the For Students website. |
| Deadline for receipt of completed Examiners' Reports (prepared by the examiners after the viva) in DCO | [Enter date]  |
| Last Graduate Board Progression and Examinations Group meeting before the ceremonies                   | [Enter date]  |

| Event   | Date         |
|---|--------------|
| Deadline of confirmation of corrections and submission of eThesis | [Enter date] |
| Publication of final pass list before the degree ceremonies       | [Enter date] |

# Award statement for research degrees

After your name has appeared on a pass list, if you require evidence of your research degree award before you receive your official certificate, you may wish to request a statement. The statement will confirm: your period of study, School of registration, thesis title, submission date for examination, viva date and date of award. Statements can take up to four weeks to process (please note that if you apply for a statement before your name has appeared on a pass list, the four week period will begin from the date of publication of the pass list). Statements for research degrees are provided free of charge for the first three months after your name has appeared on a pass list. Requests for research degree statements can be made to the thesis examination team on rp examinations@adm.leeds.ac.uk if evidence of your research degree is essential at that time i.e., for job applications. After three months, statements should be ordered through the online store on the University website and there is a small charge.

### Graduate visa

PGRs holding a current Tier 4/Student Visa may be able to apply for the Graduate Visa once they have appeared on the pass list. Further information is available on the Working in the UK after study page of the For Students website.

# Postgraduate Research Policies and Procedures

A number of policies, procedures, codes of practice and rules are specific to research students.

If you are unclear about any of these policies and procedures, please see the Starting your research, During your research and Thesis submission and viva examination pages of the Research Degrees site. You can also contact your Graduate School with any queries.

All policies and procedures for postgraduate researchers can be found on the <u>Research degree-related policies page of the Student Education Services (SES) website</u> and the <u>Research student</u> guidance page of the For Students website.

### Fees

Academic fees are charged at the start of each academic year, or on the annual anniversary of your start date, and are paid as part of registration.

Fees for research students depend on whether you are a home or international student and differ across faculties.

## Self-funding students

If you're a self-funding student, you can arrange to <u>pay your fees in full</u> or in two instalments:

- 50% paid by you before or on the day of registration. It is your choice which <u>payment</u> method you use for the first instalment.
- 50% on the first of the month, five months after your start date by direct debit from a UK current account.

You can set up your instalment plan during registration (under step six); you must provide details of your current account at this stage but payment will not be taken immediately.

If you do not have a UK current account you can <u>pay your first instalment using a different method</u> and <u>set up a UK current account</u> when you arrive in Leeds. This process can take several weeks during busy times of the year and so to avoid delaying your registration you should treat this as a priority if you wish to pay in instalments. If there are delays to your registration because you are waiting to set up your bank account let Student Fees know.

Overtime (writing up) fees cannot be paid in instalments.

### Tuition fee scholarships

If your tuition fees are being paid by the University this is called a tuition fee scholarship and should show on the registration system. This usually includes research council funding, university scholarships and school scholarships.

Schools and faculties can make partial contributions or pay your tuition fees in full. You can see whether the fee scholarship paperwork has been processed in step six of your <u>online registration</u>.

You'll receive confirmation from your school or faculty that they'll make a payment towards your tuition fees. If no tuition fee scholarship information is showing on step 6 of your registration please contact your Graduate School.

### Fee rates

Read the information on the Research degrees fees page of the University website.

If you would like a fee assessment to determine if you are a home or international student contact the <u>postgraduate admissions team</u>.

There are different fees for split-site research programmes.

### Bench fees

Some research projects require a high expenditure on consumables, equipment, or other resources. To cover these addition costs, an annual bench fee may be charged in addition to academic fees. If your project has a bench fee this will be clearly stated on your offer letter.

# Overtime (writing up) fee

The overtime period allows all PGRs (excluding Masters by Research and miscellaneous study) to register on a reduced fee after the standard period of study but within the maximum period of study. Your offer letter will state the end of the standard period of study and also the maximum time limit. The difference is usually the overtime period. Full time postgraduate researchers normally have one year of overtime and part time/split site have two years.

During the overtime period, it is expected that you will be working on your thesis and will not be undertaking new research. If you are still undertaking new research in the overtime period, the full fee (or pro rata amount depending on the duration of extra research and whether you are studying full or part time) may be charged.

If you submit before the end of the standard period of study, or up to one month after the start of the overtime period, then you will not be required to register during the overtime period.

Details of the current overtime fee are available on the <u>Research degrees fees page of the University</u> website.

#### Fee refund

If you are funding your studies yourself, you may be eligible for a partial refund of fees if you finish early, subject to completion of a successful examination (not applicable to Masters by Research students).

No refund will be made unless all academic fees have been paid in full for the year/session in which you are submitting, you are successful in your examination, and have appeared on a pass list.

The fee refund applies only to those submitting within the standard period of study; if you have had suspended study you will not normally be considered for a refund.

### Need further help or advice?

If you have any issues around the payment of your academic fees please let your Graduate School know immediately.

The <u>Fees team</u> at the University can also give you help and advice.

# Stipend

If you hold a scholarship or studentship from the University, you may receive maintenance payments, also known as a stipend.

If you receive a scholarship administered by your faculty, school, or the Postgraduate Scholarships Office, then details of your stipend or maintenance payments will be outlined in your scholarship award or renewal letter.

# When are stipend payments made?

Most maintenance payments are made monthly and in advance (some international scholarship award holders are paid quarterly in advance – this will be outlined in your scholarship award or renewal letter).

Monthly payments are always due on the 1st of the month for the month ahead but are often paid slightly earlier. This is because payments clear on a Friday, so where the 1st of the month is not also a Friday, payments will clear the preceding Friday instead. Therefore, for budgeting purposes you should use the 1st of the month when arranging direct debits and standing orders.

Christmas, Easter, and Bank Holidays may impact on the payment day, though payments will always be earlier than the standard date, rather than later.

# How will I receive my stipend?

Student payments are processed by BACS (Bankers Automated Clearing System) so go directly from the University of Leeds into your bank account. We can only make a payment if you have <u>completed</u> <u>registration</u> and <u>provided your UK bank account details</u>.

You will need to complete registration by 10am on a Monday (both new and returning students) to receive your due maintenance instalment in your bank account by Friday of the same week.

You will not be able to register for study until approximately four weeks before your start or renewal date at the earliest, to allow time for us to put your funding in place.

We can only make maintenance payments into UK-based accounts. If you need to open an account before you are in the UK, although we cannot help you with this there are some organisations that do provide this service:

https://www.revolut.com/ https://monese.com/

Please be aware we are not supporting or endorsing these companies or their services. You must make your own decision on whether to use them.

## What can disrupt my scholarship payments?

Providing an authorised payment request has been received by the Funding team, your payment will be processed if bank account details are in place and you have completed registration.

Maintenance payments will not normally be paid if you:

- haven't registered
- have withdrawn
- are under examination
- have suspended
- have completed your programme
- are classed as an external student

Payment can only be made in these circumstances if your school or faculty has agreed to do so, and the decision has been communicated to the Funding team.

# For more information or support

For any questions about your funding and maintenance payments, please contact your Graduate School first.

Queries relating to centrally-funded scholarship awards should be sent to the Postgraduate Scholarships Office via pg\_scholarships@leeds.ac.uk

You can also contact the Funding team via <a href="mailto:Funding@leeds.ac.uk">Funding@leeds.ac.uk</a>

# Other sources of funding

A wide range of funding sources are available to help you during your postgraduate research degree at Leeds.

# Postgraduate Doctoral Loan

If you are a UK national starting a doctoral degree you may be able to apply for a Postgraduate Doctoral Loan to help with course fees and living costs. The loan is a contribution towards the costs of study; it will not necessarily cover all tuition and living costs. For more information please see the Government website.

### Trusts, charities and foundations

The types of award vary widely, some amount to a few hundred pounds a year and may only cover research expenses such as travel and fieldwork.

The <u>Alternative Guide to Postgraduate Funding</u> contains a huge database of funding opportunities, comprehensive guidance. It can help you to access alternative funding sources, especially charities, which can make awards (fees, maintenance, research costs) to any postgraduate researcher regardless of subject, or fee status. You can sign up to access the guide for free through the <u>Alternative Guide to Postgraduate Research funding Gateway</u>, using your university @leeds.ac.uk e-mail address.

<u>Prospects</u> provides information about funding postgraduate study in the UK. It offers guidance on finding funding from public funding bodies as well as charities, trusts and foundations.

The government also publishes an <u>advice page</u> on their website about postgraduate funding, as does <u>Leeds University Union</u>.

Reference books such as the Grants Register and the Directory of Grant-Making Trusts are available from the Leeds University Library.

### Student Ideas Fund

<u>The Student Ideas Fund</u> is a collaboration between the University, Leeds University Union and students and provides funding of between £500 to £5000 to help bring your idea for improving the University and student experience to life.

### Cumberland Lodge

The <u>British Federation of Women Graduates (BFWG)</u> aims to promote women's opportunities in education and public life. National BFWG scholarships are awarded to women research students in their final year of formal study towards a PhD degree.

### Sports scholarships programme

<u>Sport and Physical Activity</u> offers two sports scholarship programmes to support elite athletes as they combine academic and sporting excellence during their time at the University.

# Hardship

If you're in financial difficulty while you are a student with us, you may be able to apply for support from the University to help with living expenses.

# University Financial Assistance Fund

Registered PGRs who are facing unexpected financial difficulties can apply to the University Financial Assistance Fund (formerly the Leeds Hardship Fund) for help towards essential living costs. The fund is open to applications from home and international PGRs and is an online application.

You can read more about the Financial Assistance Fund, including eligibility criteria, what evidence you may need to prepare and how to apply, on the <u>Finance website</u>.

# Other sources of support

If you are struggling with your finances, please get in touch with your Graduate School. Some faculties operate their own hardship or emergency funds. If worries over money have impacted your ability to do your research, it is important to record this on GRAD and to discuss it with either your supervisor or your Graduate School.

Useful links
Cost of living support and advice

Leeds University Union Help and Support: Money

Alternative funding guide

# Travel and purchasing

During your studies you may need to arrange travel or to purchase goods or services to support your research.

#### Travel

All travel and accommodation bookings should be made via <u>Key Travel</u>, however in the first instance please contact your Graduate School who will help guide you through the process. Further information regarding Key Travel can also be found on the <u>University's Finance pages</u> and <u>Purchasing pages</u>.

If you are travelling outside of the UK on behalf of the University (for conferences, fieldwork, etc) you may be covered by the Worldwide Business Travel insurance policy purchased by the University. More information is available on the <a href="Insurance section of the University website">Insurance section of the University website</a> and you can get in touch with the team to check if you will be covered.

If you are doing fieldwork or other research activities away from the University for one month or longer, you must complete a Change of Study Location form on GRAD. This is especially important to do if you are studying here on a Tier 4 or Student Visa.

If you are organising fieldwork, you must ensure an effective fieldwork assessment process in in place to minimise risk and ensure that as a University we fulfil our duty of care to protect staff, PGRs and other affected people.

Wellbeing, Safety and Health Services set out what the University requires of staff and PGRs in respect of fieldwork health and safety considerations, along with guidance to help achieve this. For further information, please see the <u>Wellbeing, Safety and Health webpage</u> and consult your Graduate School on faculty/school fieldwork processes and guidance.

## **Purchasing**

Purchasing processes vary across the university so if you need to buy anything for your project please speak to your supervisor first who can advise you on the local arrangements and how to use any relevant account codes.

For further information, including training on commonly used purchasing systems and partners like Science Warehouse, Key Travel and SIPR, please refer to the <u>Purchasing website</u>.

# Contact your Graduate School

Your Graduate School is your main point of contact for any questions about your programme, or anything relating to your studies.

This page is information for current PGRs. If you are applying for a research postgraduate degree, please visit the <u>Applying for research degrees page</u> for admissions information and contact details.

# **Graduate Schools**

Each faculty has a Graduate School, which is led by an academic Head of Graduate School. The Graduate Schools are under the umbrella of the Doctoral College and work to strengthen research degree arrangements and provide a consistent experience for all postgraduate researchers.

Graduate School staff can support you in all aspects of your research degree such as registration, milestones, student support and funding. They will always be available to advise, provide information or signpost you to the right person to speak to.

To read more about the role of the Graduate School, please see the <u>Committees and Representation</u> page on the For Students website.

# **Graduate School Office contacts**

Which Graduate School you get in touch with depends on your parent School/Faculty:

Faculty of Arts, Humanities and Cultures Graduate School: AHCGradSchool@leeds.ac.uk

Faculty of Biological Sciences Graduate School: fbsfmhgso@leeds.ac.uk

Faculty of Engineering and Physical Sciences Graduate School: EPSGSO@leeds.ac.uk

Faculty of Environment Graduate School: pgr-env-fgt@leeds.ac.uk

Faculty of Medicine and Health Graduate School: fbsfmhgso@leeds.ac.uk

Faculty of Social Sciences Graduate School: FOSSGradSchool@leeds.ac.uk

Leeds University Business School (LUBS) Graduate School: <u>LUBSGradSchool@leeds.ac.uk</u>

If you are unsure which Graduate School to contact or if you think your query might be for someone else, but you aren't sure who, you can email <a href="mailto:doctoralcollege@leeds.ac.uk">doctoralcollege@leeds.ac.uk</a> and your query will be directed to the correct team.

# Heads of Graduate Schools

Faculty of Arts, Humanities and Cultures Graduate School: <u>Dr Pammi Sinha</u>

Faculty of Biological Sciences Graduate School: Professor Ronaldo Ichiyama

Faculty of Engineering and Physical Sciences Graduate School: Dr Miller Alonso Camargo-Valero

Faculty of Environment Graduate School: <u>Professor Bernadette Moore</u>

Faculty of Medicine and Health Graduate School: <u>Dr Peter Laslo</u>

Faculty of Social Sciences Graduate School: Professor Jen Hendry

Leeds University Business School (LUBS) Graduate School: <u>Dr Effie Kesidou</u>, <u>Dr Ghasem Zaefarian</u>

(deputy)

# Your Director of Postgraduate Research Studies (DPGRS)

In your <u>GRAD</u> record you will be able to find the name of your DPGRS. Once logged in, go to "My record" and then "Postgraduate research project" and your postgraduate research programme details will come up. Your DPGRS is listed under the title "Postgraduate Research Tutor" (this is an old title for the DPGRS). You might see more than one name which indicates a DPGRS and deputy DPGRS

To read more about the role of the DPGRS, please see the <u>Committees and Representation page on the For Students website</u>.

# Committees and Representation

Research degrees are governed by a number of committees and departments. Find out how decisions are made and how your voice is heard.

### Structure

The Doctoral College is made up of Doctoral College Operations and Graduate Schools.

The Dean of the Doctoral College is **Professor Luke Windsor**.

The Service Delivery Manager for the Doctoral College is Paula North.

### Heads of Graduate School and Directors for Postgraduate Research

Each Graduate School is led by an academic <u>Head of Graduate School</u>. The Head of Graduate School is responsible for shaping the strategic development of postgraduate research in the faculty.

Each school within a faculty also has a Director of Postgraduate Research Studies (DPGRS).

The DPGRS has responsibility for coordinating:

- admissions
- registration
- appointment of supervisors
- the transfer process for assessment for full degree registration
- recording and monitoring progress and attendance of postgraduate researchers
- examination arrangements
- pastoral care
- liaison with Doctoral College Operations

You can find who your DPGRS is by following the guidance on our <u>Your Graduate School page of the</u> For Students website.

# **Doctoral College Operations**

Like the Graduate Schools, Doctoral College Operations (DCO) comes under the umbrella of Leeds Doctoral College. DCO is responsible for managing PGR records for processes such as registration, extensions and suspensions of study, progression and examination.

#### **Graduate Schools**

Your Graduate School is your main point of contact for any questions about your programme, or anything relating to your studies. For more information, including how to contact your Graduate School, see the <u>Your Graduate School page of the For Students website</u>.

## Management and decision making

#### **Graduate Board**

<u>Graduate Board</u> formulates policies and procedures for all research degrees. It also monitors and reviews all arrangements for research degrees. The Board is chaired by the Dean of the Doctoral College and is responsible for implementing policy and regulations via Doctoral College Operations (DCO), the Admissions Service, Faculty Graduate School Committees and Directors of Postgraduate Research Studies.

Admission, progress, examination, and decisions regarding the award of research degrees also fall within the remit of the Graduate Board. PGRs are represented on the Board and on Faculty Graduate School Committees by PGR reps.

DCO has responsibility for providing the Graduate Board with the information which the Board requires both in a routine way and for any exceptional circumstances that may develop.

### Faculty Graduate School Committees

<u>Faculty Graduate School Committees</u> oversee faculty-level strategy and operations on all matters relating to postgraduate research. Their common core terms of reference gives all faculties a clear route of communication to Graduate Board.

### Schools/Institutes

All PGRs belong to an academic school or institute, each school/institute is part of a faculty. As part of the <u>Code of Practice for Research Degrees</u>, each faculty has its own supplementary protocol which provides details of how the code is implemented within a local context and individual faculty organisational structures.

# Representation, feedback and channels of communication

#### **PGR Reps**

Postgraduate researchers are represented on faculty Graduate School Committees by representatives recruited within their faculty or school. These PGR reps participate in discussions and meetings to enhance the postgraduate research experience and are encouraged to consult fellow PGRs. They also make sure a wide variety of opinions are represented at the committee meetings. Representatives ensure that PGRs have a platform to raise and discuss issues affecting them, and to influence future University policy for research degree programmes. You can contact your Graduate School to find out who your PGR reps are.

### Leeds University Union (LUU)

Leeds University Union provides additional training and support for the PGR reps. PGRs are also represented on the Graduate Board and other relevant groups of the Board by the LUU Education

Officer and a PGR representative appointed by LUU. To find out more about these and other opportunities to have your voice heard, please contact LUU's <u>Postgraduate Engagement Officer</u>.

LUU also consults with PGRs about their satisfaction levels throughout the year. PGRs are able to mandate the Student Executive Officers by <u>submitting ideas</u> that can then become policy at the <u>Better Forums</u>.

#### Student Advice Centre

The <u>Student Advice Centre</u> at Leeds University Union provides confidential and independent information, support and advice to postgraduate researchers contemplating appeals, complaints or other difficulties associated with their research degree. They also offer independent advice on a range of issues including academic and money issues.

## Postgraduate Research Experience Survey

The Postgraduate Research Experience Survey (PRES) runs once every two years and is carried out by institutions across the country and the results are used nationally, to help inform policy and improve the postgraduate research experience across the sector.

The survey is your chance to tell us about your experience of your programme. Your feedback is really important and will help us to know what's working well and what's not.

The PRES survey is next due to run in 2025.

### GRAD

The <u>Graduate Record of Achievement and Development (GRAD)</u> is the University record keeping system for all postgraduate researchers.

### GRAD lets you arrange:

- Supervision meetings
- First formal progress review
- Transfer
- Annual progress reports

### You are also able to:

- Upload files and reports
- Record training that you take part in
- Request annual leave
- Tell your Graduate School if you will be away from your studies (an authorised absence)
- Tell your Graduate School if you will be away from Leeds for a period of time (a change of study location)

It is mandatory that you use it throughout your studies, especially for recording supervision meetings. Just logging into GRAD and browsing will help you understand the process and steps of your research degree. GRAD user guides can be found on your GRAD homepage and on the Postgraduate research and training webpage.

You can use your GRAD record to find the name of your Director of Postgraduate Research Studies (DPGRS). Once logged in, go to "My record" and then "Postgraduate research project" and your postgraduate research programme details will come up. Your DPGRS is listed under the title "Postgraduate Research Tutor" (this is an old title for the DPGRS). You might see more than one name, this indicates the DPGRS and deputy DPGRS names. You can also see a named contact in your Graduate School.

To read more about what your DPGRS does, please go to our <u>Committees and Representation page</u> on the For Students website.

If you have any problems using the system, please contact your Graduate School. If you are facing any challenges that are affecting your studies, you are encouraged to make your supervisor aware and record a summary of the conversation in a GRAD meeting record.

If you have any technical issues, please contact the IT Helpdesk <a href="mailto:helpdesk@leeds.ac.uk">helpdesk@leeds.ac.uk</a>.

The following links lead to videos that show you how to carry out common tasks in GRAD.

Welcome to GRAD

Transfer process

Sharing notes before a supervisory meeting

Sharing notes after a supervisory meeting

**Progress report**